

FINITESS OF $E(\mathbf{Q})$ AND $\text{III}(E, \mathbf{Q})$ FOR A SUBCLASS OF WEIL CURVES

UDC 519.4

V. A. KOLYVAGIN

ABSTRACT. Let E be an elliptic curve over \mathbf{Q} , admitting a Weil parametrization $\gamma: X_N \rightarrow E$, $L(E, \mathbf{Q}, 1) \neq 0$. Let K be an imaginary quadratic extension of \mathbf{Q} with discriminant $\Delta \equiv \text{square} \pmod{4N}$, and let $\gamma_K \in E(K)$ be a Heegner point. We show that if γ_K has infinite order (K must not belong to a finite set of fields that can be described in terms of γ), then the Mordell-Weil group $E(\mathbf{Q})$ and the Tate-Shafarevich group $\text{III}(E, \mathbf{Q})$ of the curve E (over \mathbf{Q}) are finite. For example, $\text{III}(X_{17}, \mathbf{Q})$ is finite. In particular, $E(\mathbf{Q})$ and $\text{III}(E, \mathbf{Q})$ are finite if $(\Delta, 2N) = 1$ and $L'_f(E, K, 1) \neq 0$, where $f = \infty$ or f is a rational prime such that $(\frac{f}{K}) = 1$ and $(f, Na_f) = 1$, where a_f is the coefficient of f^{-s} in the L -series of E over \mathbf{Q} . We indicate in terms of E , K , and γ_K a number annihilating $E(\mathbf{Q})$ and $\text{III}(E, \mathbf{Q})$.

Bibliography: 11 titles.

Introduction

Let E be an elliptic curve defined over the field of rational numbers \mathbf{Q} , and let $L(E, \mathbf{Q}, s) = \sum_{n=1}^{\infty} a_n n^{-s}$, $a_n \in \mathbf{Z}$, be the canonical L -function of E over \mathbf{Q} . The Birch–Swinnerton-Dyer conjecture asserts that the rank of E over \mathbf{Q} is equal to the order of the zero at $s = 1$ of the function $L(E, \mathbf{Q}, s)$. In particular, $E(\mathbf{Q})$ is finite $\Leftrightarrow L(E, \mathbf{Q}, 1) \neq 0$. If E has complex multiplication, Coates and Wiles [1] showed that $E(\mathbf{Q})$ is finite if $L(E, \mathbf{Q}, 1) \neq 0$. If E is a Weil curve (by Weil’s conjecture, every elliptic curve defined over \mathbf{Q} is such a curve) and $E(\mathbf{Q})$ is finite, then according to a result of Gross and Zagier [2] either $L(E, \mathbf{Q}, 1) \neq 0$ or $L'(E, \mathbf{Q}, 1) = 0$.

There also exists a conjecture on the finiteness of the Tate-Shafarevich group of E : $\text{III}(E, \mathbf{Q}) = \ker(H^1(\mathbf{Q}, E) \rightarrow \prod_v H^1(\mathbf{Q}_v, E))$, where v runs over all rational prime numbers and ∞ .

Let N be a natural number, and X_N a modular curve over \mathbf{Q} parametrizing isogenies of elliptic curves $E' \rightarrow E''$ with a cyclic kernel of order N . We assume that E is a Weil curve, i.e., for some N there exists a (weak) Weil parametrization $\gamma: X_N \rightarrow E$ (see [2] or [3]). Let K be an imaginary quadratic extension of \mathbf{Q} with discriminant Δ ($\Delta < 0$) such that $\Delta \equiv \text{square } (\text{mod } 4N)$; $O = O_K$ denotes the ring of integers of K , and i is an ideal of O such that $O/i \simeq \mathbf{Z}/N$; i exists as a consequence of a condition on Δ (see [2]) and is assumed to be fixed. Let H denote the Hilbert class field of K ; $z_1 = z_{1,K,i} \in X_N(H)$ is a point corresponding in complex notation to the

1980 *Mathematics Subject Classification* (1985 Revision). Primary 11G40, 11G05, 11F67; Secondary 14K07, 11D25, 14G10, 11R23.

isogeny $\mathbf{C}/O \rightarrow \mathbf{C}/i^{-1}$, where $i^{-1} \supset O$ is invertible in the group of proper O -ideals. By $y_1 \in E(H)$ we denote a Heegner point $\gamma(z_1)$, and we set $y_K = N_{H/K}(y_1)$.

In this paper we shall prove that if $L(E, \mathbf{Q}, 1) \neq 0$ and y_K has infinite order, then $E(\mathbf{Q})$ and $\text{III}(E, \mathbf{Q})$ are finite. A priori, (K, i) in this criterion should not belong to a finite set Z of pairs (K', i') described in terms of the Weil parametrization of E . For example, $\text{III}(X_{17}, \mathbf{Q})$ is finite. In terms of K, E , and y_K we indicate the number annihilating $E(\mathbf{Q})$ and $\text{III}(E, \mathbf{Q})$. Actually, the picture that arises is reminiscent of the Stickelberger relations in cyclotomic theory.

Let $(\Delta, 2N) = 1$. We denote by χ_K the quadratic character associated with K , and we set

$$L(E, \mathbf{Q}, \chi_K, s) = \sum_{n=1}^{\infty} \chi_K(n) a_n n^{-s}, \quad L(E, K, s) = L(E, \mathbf{Q}, s) L(E, \mathbf{Q}, \chi_K, s).$$

Then $L(E, K, 1) = 0$. It follows from [2] that y_K is a point of infinite order $\Leftrightarrow L'(E, K, 1) \neq 0$. Hence, for a Weil curve E with $L(E, \mathbf{Q}, 1) \neq 0$, $E(\mathbf{Q})$ and $\text{III}(E, \mathbf{Q})$ are finite if $\exists(K, i) \notin Z$ such that $(\Delta, 2N) = 1$ and $L'(E, \mathbf{Q}, \chi_K, 1) \neq 0$. Let f be a rational prime splitting in K and such that $(f, N) = 1$ and $(f, a_f) = 1$; [4] allows us to replace the Archimedean L -function in the last criterion by an f -adic one.

We introduce some common notation: $\mathbf{N} = \{1, 2, 3, \dots\}$ is the set of natural numbers, and \mathbf{Z}_+ is the set of nonnegative numbers. If A is an abelian group and $D \in \mathbf{Z}_+$, then A_D and A/D denote the kernel and cokernel of the endomorphism of multiplication by D . If M is a field, then \overline{M} is an algebraic closure of M . If L/M is a Galois extension, then $G(L/M)$ denotes the Galois group of L over M . We shall use the abbreviations $H^1(M, A) = H^1(G(\overline{M}/M), A)$, where A is a $G(\overline{M}/M)$ -module, and $H^1(M, E) = H^1(G(\overline{M}, E))$. “For almost all” means “for all, except a

module, and $H^1(M, E) = H^1(M, E(M))$. “For almost all” means “for all, except a finite number”. If O is a commutative ring with identity, then O^* denotes the group of invertible elements of O . The field $\overline{\mathbf{Q}}$ is assumed to be imbedded in the field of complex numbers \mathbf{C} , σ denotes the automorphism of complex conjugation, and ■ denotes the end of a proof.

§1. Norm relations for Heegner points

Throughout this paper p denotes a rational prime number relatively prime to N . We set $O_p = \mathbf{Z} + pO$ and $i_p = i \cap O_p$; K_p denotes the ray class field of K with conductor p ; $z_p \in X_N(K_p)$ is a point corresponding in complex notation to the isogeny $\mathbf{C}/O_p \rightarrow \mathbf{C}/i_p^{-1}$, where $i_p^{-1} \supset O_p$ is invertible in the group of proper O_p -ideals; $y_p \in E(K_p)$ denotes $\gamma(z_p)$; $C|_K$ denotes the ideal class group of K ; and $\theta: C|_K \xrightarrow{\sim} G(H/K)$ is the Artin isomorphism. We set u_p equal to the order of the image of O^* in $(O/p)^*/(\mathbf{Z}/p)^*$; $u_p = 1$ if $K \neq \mathbf{Q}(\sqrt{-1})$ and $K \neq \mathbf{Q}(\sqrt{-3})$. If δ is an ideal of O , then $\theta(\delta)$ denotes the value of θ on the image of δ in $C|_K$.

PROPOSITION 1. *The following norm relations hold:*

$$u_p N_{K_p/H}(y_p) = a_p y_1, \quad (a_p - \theta^{-1}(\delta) - \theta^{-1}(\bar{\delta}))y_1, \quad (a_p - \theta^{-1}(\delta))y_1,$$

if respectively $(p/K) = -1$, i.e., p remains prime in K ; $(p/K) = 1$, i.e., p splits in $K: (p) = \delta\bar{\delta}$; or $(p/K) = 0$, i.e., p is ramified in $K: (p) = \delta^2$.

PROOF. Let

$$\Gamma = \Gamma_0(N) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in PSL_2(\mathbf{Z}) \mid c \equiv 0 \pmod{N} \right\},$$

$$T = \{ \tau \in \mathbf{C}, \operatorname{Im}(\tau) > 0 \}, \quad \tau \mapsto (\mathbf{C}/[\tau, 1] \rightarrow \mathbf{C}/[\tau, 1/N]),$$

where $[\tau_1, \tau_2] = \mathbf{Z}\tau_1 + \mathbf{Z}\tau_2$ is the standard mapping of $\Gamma \backslash T$ into $X_N(\mathbb{C})$. Let ω be a nonzero invariant differential form on E , and let $q = \exp(2\pi\sqrt{-1}\tau)$. By the definition of γ , $f(\tau) = \sum_{n=1}^{\infty} a_n q^n$ is a cusp form of weight 2 relative to Γ that is an eigenform for the Hecke operator T_p , $\gamma^*(\omega) = cf(\tau)(dq/q)$, $c \neq 0$. In complex notation the parametrization γ' can be represented as a mapping $\tau \mapsto \int_{\sqrt{-1}\infty}^{\tau} \gamma^*(\omega) \pmod{(\text{lattice of periods of } \gamma^*(\omega))}$. Using the fact that $f(\tau)$ is an eigenform for T_p :

$$pf(p\tau) + \sum_{k=0}^{p-1} \frac{1}{p} f\left(\frac{\tau+k}{p}\right) = a_p f(\tau),$$

we obtain the relation

$$\gamma(p\tau) + \sum_{k=0}^{p-1} \gamma\left(\frac{\tau+k}{p}\right) = a_p \gamma(\tau). \quad (1)$$

Here $\gamma': X_N \rightarrow E'$ is a Weil parametrization (see [3]) and $\gamma = \eta \circ \gamma'$, where $\eta: E' \rightarrow E$ is an isogeny. Applying (1) to the τ corresponding to z_1 , we will obtain the desired norm relations, since in this case the terms in the left-hand side with residue 2 or 1 in the cases $(p/K) = 1$ and $(p/K) = 0$ will form the orbit of γ_p relative to $G(K_p/H)$ with multiplicity u_p .

Let $x = (E' \xrightarrow{\varphi} E'') \in X_N$. The expression (1) can be written in the following equivalent way: $\sum \gamma(x_S) = a_p \gamma(x)$, where the summation is over the subgroups $S \subset E'$ of order p , $x_S = (E'/S \rightarrow E''/(\varphi(S)))$. If $E' = \mathbb{C}/T$, then S has the form $(\frac{1}{p}L)/T$, where $L \subset T$ is a sublattice of index p . In our case $x = z_1$ and we have

$$\sum \gamma\left(\left(E' \xrightarrow{\varphi} E''\right) / \left(\frac{1}{p}L \oplus \frac{1}{p}T\right)\right) = a_p \gamma\left(\left(\frac{1}{p}L \oplus \frac{1}{p}T\right) / T\right) \quad (2)$$

$$\sum \gamma \left(\left(\mathbb{C} / \left(\bar{p}L \right) \rightarrow \mathbb{C} / \left(\bar{p}L + i^{-1} \right) \right) \right) = a_{pY_1}, \quad (2)$$

where the sum is over the sublattices $L \subset O$ of index p . We shall show that $\frac{1}{p}L + i^{-1} = i_p^{-1} \left(\frac{1}{p}L \right)$. It suffices to show that $i_p L + p i_p i^{-1} = L$, i.e., $i_p L + pO = L$ ($i_p i^{-1} = i_p(Oi^{-1}) = (i_pO)i^{-1} = ii^{-1} = O$). This is so if the index of $i_p L$ in L is relatively prime to p . Since $(N, p) = 1$, then $\exists A, B \in \mathbf{Z}$ such that $pA + NB = 1$. We shall show that $L/(i_p L) \rightarrow O/i \simeq \mathbf{Z}/N$ is an imbedding, i.e. $L \cap i = i_p L$. Let $a \in L \cap i$. Then $a = Apa + BNa$. Since $N \in i_p$ and $a \in L$, then $Ba \in i_p L$. Hence it suffices to show that $pa \in i_p L$. For this in turn it suffices to show that $p^2a \in i_p L$. But this is so because $pa \in i_p$ and $p \in L$. ■

Let $O = [\tau, 1]$. It is well known that every sublattice L of O of finite index admits a representation in the form $[\alpha\tau + b, d]$, where $a, b, d \in \mathbf{Z}$ and $a, d > 0$. The index of L in O is equal to ad . We shall prove some simple general facts about sublattices of O and their conductors. The *conductor* of a lattice L is the conductor of its ring of multipliers, i.e., the minimal $c \in \mathbf{N}$ such that $\{x \in K | xL \subset L\} = \mathbf{Z} + cO$.

PROPOSITION 2. *Let L be a sublattice of O of index n . Suppose $L = [\alpha\tau + b, d]$. The conductor of L is the minimal $c \in \mathbf{N}$ such that cd is divisible by a, cb is divisible by a , and $cN_{K/\mathbf{Q}}(\alpha\tau + b)$ is divisible by ad .*

PROOF. It suffices to prove that $ctL \subset L \Leftrightarrow c$ satisfies the given hypotheses; $ctd \in L \Leftrightarrow \exists e, s \in \mathbf{Z}$ such that $cd\tau = e(\alpha\tau + b) + sd \Leftrightarrow cd$ is divisible by a and $\exists s \in \mathbf{Z}$ such that $(cd/a)b + sd = 0 \Leftrightarrow a$ divides cd and a divides cb . Further, let $r = N_{K/\mathbf{Q}}(\alpha\tau + b)$; then $c\tau(\alpha\tau + b) \in L \Leftrightarrow \exists e, s \in \mathbf{Z}$ such that $c\tau(\alpha\tau + b) = e(\alpha\tau + b) + sd \Leftrightarrow \exists e, s \in \mathbf{Z}$ such that $(c\tau - e)r = sd(-\alpha\tau + aR + b)$, where $R = \text{Tr}_{K/\mathbf{Q}}(\tau)$, $\Leftrightarrow ad$ divides cr and $\exists e \in \mathbf{Z}$ such that $-er = cr(-R - b/a) \Leftrightarrow ad$ divides cr and a divides cb . ■

PROPOSITION 3. Let $L = [\alpha\tau + b, d]$, $ad = n$. Then the set of sublattices of O of index n equivalent to L consists of lattices of the form $(v/d)L$, where v is an arbitrary integer of K such that $N_{K/Q}(v) = d^2$ and v is divisible by $d/((a\tau + b), d)$.

PROOF. Obviously, the desired set consists of lattices of the form $(v/d)L$, where $v \in K$ such that $(v/d)L \subset O$ and the index of $(v/d)L$ in O is equal to n . Obviously, $(v/d)L \subset O \Leftrightarrow v \in O$ and v is divisible by $d/((a\tau + b), d)$. We shall show that in this case the index of $(v/d)L$ in O is equal to $n \Leftrightarrow N_{K/Q}(v) = d^2$. We have the inclusions $vL \subset dO \subset O$ and $vL \subset vO \subset O$. Hence

$$|O/(v/d)L| = |dO/vL| = |O/vL|/|O/dO| = |vO/vL|/|O/vO|/d^2 = nN_{K/Q}(v)/d^2. \blacksquare$$

PROPOSITION 4. Let L be the same as in Proposition 3. Then L has conductor $n \Leftrightarrow (d, r) = 1$, where $r = N_{K/Q}(a\tau + b)$. Multiplication by units belonging to O^* represents such lattices, and $L_1 \sim L_2 \Leftrightarrow L_1 = \varepsilon L_2$ for some $\varepsilon \in O^*$. Further, if the conductor of L is equal to n , then $LO = O$.

PROOF. Let c be the conductor of L . From Proposition 2 it follows that $c \mid (a(d/(d, r))) \mid n$. Hence $(d, r) = 1$ if $c = n$. Conversely, if $(d, r) = 1$, then it follows from Proposition 2 that $n \mid c$. Since c always divides n , $c = n$. Further, if $(d, r) = 1$, then $(d, (a\tau + b)) = 1$. Therefore the second assertion of Proposition 4 follows from Proposition 3. We shall show that $LO = O$ if $(d, a\tau + b) = 1$. In fact, $LO \subset O$ is an O -ideal and contains the relatively prime numbers d and $a\tau + b$. Hence $LO = O$. \blacksquare

In particular, the sublattices $L \subset O$ of index p have the form $[p\tau, 1] = O_p$ and $[\tau + k, p]$, where $k = 0, 1, \dots, p-1$. It follows from Proposition 2 that the conductor

of $[\tau + k, p]$ is equal to $p \forall k$ if $(\frac{p}{k}) = 1$ and $k \neq k_1, k_2$ such that $\tau + k_1 \equiv 0 \pmod{\delta}$ and $\tau + k_2 \equiv 0 \pmod{\bar{\delta}}$. In these last two cases, we obviously have that $[\tau + k, p] = \delta$ and $\bar{\delta}$ respectively. Analogously, for $(\frac{p}{k}) = 0$ the conductor of L is equal to p if $\tau + k \not\equiv 0 \pmod{\delta}$, and $[\tau + k, p] = \delta$ for the unique k for which $\tau + k \equiv 0 \pmod{\delta}$. It follows from Proposition 4 that the image of the set of lattices $L \subset O$ of index p and conductor p under mapping into the group $C_{l_{K,p}}$ of proper O_p -ideal classes consists of $(p - (\frac{p}{k})) / u_p$ elements and in each element of the image there are u_p lattices.

If E' is an elliptic curve, then $J(E')$ is the value of the modular invariant of E' (see [5], p. 107). For $E' = \mathbf{C}/L$ we set $J(L) = J(E')$. There is the classical fact that $J(O_p)$ generates K_p over K and $G(K_p/K)$ is isomorphic to $C_{l_{K,p}}$ relative to the correspondence $g \mapsto$ the class of b , under which $J(O_p)^g = J(b^{-1})$. There is a natural homomorphism of the idele group K_A^* of the field K into the group of proper O_p -ideals: $a \mapsto aO_p$ (for the definition of the action of an idele on a lattice see [5], p. 116), whose factorization through $C_{l_{K,p}}$ under the identification of $C_{l_{K,p}}$ with $G(K_p/K)$ given above coincides with the global reciprocity map $\theta: K_A^* \rightarrow G(K_p/K)$ (see [5], pp. 122, 123). Moreover, there is a natural exact sequence $1 \rightarrow \Psi_p \rightarrow C_{l_{K,p}} \rightarrow C_{l_K} \rightarrow 1$, where Ψ_p denotes the factor-group of $(O/p)^*/(\mathbf{Z}/p)^*$ by the image of O^* corresponding to the exact sequence

$$1 \rightarrow G(K_p/H) \rightarrow G(K_p/K) \rightarrow G(H/K) \rightarrow 1.$$

Both to finish the proof of Proposition 1 and for later use we need the following

PROPOSITION 5. *$G(K_p/H)$ is a cyclic group of order $(p - (\frac{p}{k}))/u_p$. The extension K_p/H is totally ramified at prime divisors of p in H .*

PROOF. If $(\frac{p}{K}) = -1$, then $(O/p)^*$ is a cyclic group of order $p^2 - 1$, $(\mathbf{Z}/p)^*$ is a subgroup of order $p - 1$, and u_p is by definition the order of the image of O^* in $(O/p)^*/(\mathbf{Z}/p)^*$. Hence, $G(K_p/H) \simeq \Psi_p$ is a cyclic group of order $(p + 1)/u_p$. If $(\frac{p}{K}) = 1$, then $(O/p)^* \simeq (\mathbf{Z}/p)^* \times (\mathbf{Z}/p)^*$ is the subgroup of diagonal elements (a, a) . Hence, $G(K_p/H)$ is a cyclic group of order $(p - 1)/u_p$. Finally, in the case $(\frac{p}{K}) = 0$, $(O/p)^*/(\mathbf{Z}/p)^* \simeq (1 + p)\mathbf{Z}/p \simeq \mathbf{Z}/p$, where $\rho \in O$, $\delta \mid \rho$, and $\delta^2 \nmid \rho$ ($(p) = \delta^2$). Again $G(K_p/H)$ is a cyclic group of order equal to p/u_p . Let \mathcal{X} be a completion of K with respect to the prime divisor δ dividing p . The assertion about the ramification follows from the explicit form of the reciprocity map: the group of units of \mathcal{X} is mapped epimorphically onto $G(K_p/H)$. ■

We finish the proof of Proposition 1. The field of functions on X_N over \mathbf{Q} is generated by functions J_1 and J_2 such that $J_1((E' \rightarrow E'')) = J(E')$ and $J_2((E' \rightarrow E'')) = J(E'')$. A point $x \in X_N$ can be identified with $(J_1(x), J_2(x))$. When we take the above into account, formula (2) has the form $u_p \sum (\gamma(z_p))^g = (a_p - \varepsilon)_{Y_1}$, where $\varepsilon = 0, \theta^{-1}(\delta) + \theta^{-1}(\bar{\delta}), \theta^{-1}(\delta)$ respectively when $(\frac{p}{K}) = -1, 1, 0$, and g runs through the set of elements of $C/K, p$ that consists of the elements invertible to elements of the image in $C/K, p$ of the set of lattices $L \subset O$ of index and conductor p . As was shown above, there will be $(p - (\frac{p}{K})) / u_p$ such elements. Since $LO = O$ by Proposition 4, the class of L in $C/K, p$ is contained in Ψ_p . From Proposition 5 it then follows that g runs through exactly all the elements of $G(K_p/H)$. ■

§2. Canonical homogeneous spaces

A key for what follows is the fact that the norm relations of Proposition 1 allow us to construct a lot of homogeneous spaces over E whose orthogonality relative to a sum of local Tate symbols to elements of the Selmer groups for E (reciprocity law)

sum of local Tate symbols to elements of the Selmer groups for E (reciprocity law) leads eventually to the desired results.

Let D be a natural number. Let p be a rational prime number such that $(\frac{p}{k}) = -1$, $D|((p+1)/u_p)$, and $D \mid a_p$. By L_p we denote the subextension of K_p of degree D over H . We set

$$R_p \in E(L_p), \quad R_p = u_p N_{K_p/L_p}(y_p) - (a_p/D)y_1.$$

From Proposition 1 it follows that $N_{L_p/H}(R_p) = 0$. Let t be the generator of $G(L_p/H)$. We define the element $r_p \in H^1(G(L_p/H), E(L_p))$ as the class of the cocycle $t^j \mapsto (t^{j-1} + \dots + 1)R_p$. The corestriction gives us an element

$$c_p \in H^1(G(L_p/\mathbf{Q}), E(L_p))_D \subset H^1(\mathbf{Q}, E)_D.$$

If $(\frac{p}{k}) = 1$, $(p) = \delta\bar{\delta}$, δ is a principal ideal of O , $D|((p-1)/u_p)$, and $D|((a_p - 2))$, then one analogously defines an element r_p corresponding to $R_p = u_p N_{K_p/L_p}(y_p) - ((a_p - 2)/D)y_1$, and an element $c_p \in H^1(\mathbf{Q}, E)_D$. In an analogous way we introduce homogeneous spaces for the other cases ($D = p$, $p|(a_p - 1)$, $(p) = \delta^2$, δ is principal, $u_p = 1$, $R_p = y_p - ((a_p - 1)/D)y_1$, etc.), but for our purposes even the homogeneous spaces for $(\frac{p}{k}) = -1$ suffice.

We denote the Tate pairing $E(\mathbf{Q}_q)/D \times H^1(\mathbf{Q}_q, E)_D \rightarrow \mathbf{Z}/D$ (see §3) by $\langle \cdot, \cdot \rangle_{D,q}$; $S_D = S_D(\mathbf{Q})$ is the D th Selmer group for E over \mathbf{Q} , i.e.,

$$S_D = \ker \left(H^1(\mathbf{Q}, E_D) \rightarrow \prod_v H^1(\mathbf{Q}_v, E)_D \right),$$

where $E_D = E(\overline{\mathbf{Q}})_D$ is the group of points of period D on E . In the product v runs over all rational prime numbers q and ∞ ; S_D is finite, and D is a periodic group (see

[6]). There are the standard exact sequences

$$\begin{aligned} 0 &\rightarrow E(\mathbf{Q})/D \rightarrow S_D \rightarrow \mathfrak{III}(E, \mathbf{Q})_D \rightarrow 0, \\ 0 &\rightarrow E(\mathbf{Q}_q)/D \rightarrow H^1(\mathbf{Q}_q, E_D) \rightarrow H^1(\mathbf{Q}_q, E)_D \rightarrow 0. \end{aligned}$$

By definition, the localization of $s \in S_D$ in $H^1(\mathbf{Q}_q, E_D)$ lies in $E(\mathbf{Q}_q)/D$, so that the symbol $\langle s, c_p \rangle_{D,q}$ is defined, and $\sum_q \langle s, c_p \rangle_{D,q} = 0$ as a consequence of global class field theory. The summation is taken over all rational prime numbers (the Archimedean component $\langle s, c_p \rangle_{D,\infty} = 0$, since c_p is the corestriction from $H^1(K, E)$), for almost all q , $\langle s, c_p \rangle_{D,q} = 0$.

Let $y_2 + a'_1 xy + a'_3 y = x^3 + a'_2 x^2 + a'_4 x + a'_6$, $a'_k \in \mathbf{Z}$, be a Weierstrass equation for E , and let Δ_1 be the discriminant of this equation. Suppose

$$y \circ \gamma = P_1(J_1, J_2) / Q_1(J_1, J_2), \quad x \circ \gamma = P_2(J_1, J_2) / Q_2(J_1, J_2),$$

where P_k and Q_k are integer polynomials and the coefficients of P_1 and Q_1 are all relatively prime to each other, analogously for P_2 and Q_2 . We denote by Z the finite set of those (K, i) for which $Q_1(J_1, J_2)Q_2(J_1, J_2)$ is equal to zero on $z_{1,K,i}$.

The following congruence for y_p plays an important role in what follows. If w is a prime divisor of K_p lying over a prime divisor v of H , $v|p$, then we denote by F_v the residue field of the v -completion of H , and by $\text{red}_w: E(K_p) \rightarrow E(F_v)$ the reduction homomorphism (see [6]). By Fr we denote the Frobenius automorphism of $\overline{\mathbf{Z}/p}$ over \mathbf{Z}/p . We have

PROPOSITION 6. *Assume that p is relatively prime to Δ_1 , $Q_1(J_1(z_1), J_2(z_1))$, $Q_2(J_1(z_1), J_2(z_1))$, $(\frac{p}{K}) \neq 0$, and the prime divisor δ dividing p in K is principal.*

Then we have the congruence

$$\text{red}_w(\gamma_p) = \text{Fr}(\text{red}_v(\gamma_1)). \quad (3)$$

PROOF. We have the equality

$$J(p\tau) + \sum_{k=0}^{p-1} J\left(\frac{\tau+k}{p}\right) = a''_1 J^n(\tau) + \cdots + a''_{n+1},$$

where $a''_1, \dots, a''_{n+1} \in \mathbf{Z}$ (see [5], p. 109). Further, there is the $q = \exp(2\pi\sqrt{-1}\tau)$ -expansion (see [5], p. 108) for $J(\tau)$: $J(\tau) = q^{-1} (1 + \sum_{m=1}^{\infty} b_m q^m)$, $b_m \in \mathbf{Z}$. Comparing q -expansions, we will obtain that $n = p$, $a_1 = 1$, and $a_m \equiv 0 \pmod{p}$ for $m > 1$. Hence,

$$J(p\tau) + \sum_{k=0}^{p-1} J\left(\frac{\tau+k}{p}\right) \equiv J^p(\tau) \pmod{p\mathbf{Z}[J(\tau)]}.$$

In equivalent notation,

$$\sum_{L \subset T} J(L) \equiv J^p(T) \pmod{p\mathbf{Z}[J(T)]},$$

where the summation is over all sublattices of T of index p . We denote by λ the product of all prime divisors of K_p that divide p . As we know, $J(O)$ and $J(O_p)$ are algebraic integers (see [5], p. 108). Let $T = O$. If $(p/K) = -1$ then (see §1) $J(L)$ is conjugate to $J(O_p)$ relative to $G(K_p/H)$. Since by Proposition 5 K_p/H is totally

ramified at the prime divisors of H that divide p , then $\sum_{L \subset O} J(L) \equiv (p+1)J(O_p) \equiv J(O_p) \pmod{\lambda}$. Hence, $J(O_p) \equiv J(O)^p \pmod{\lambda}$. If $(\frac{p}{K}) = 1$, then, considering that by hypothesis the prime divisor $\delta|p$ in K is principal, we have

$$\sum_{L \subset O} J(L) \equiv (p-1)J(O_p) + 2J(O) \pmod{\lambda}.$$

Since p splits in H , then $J(O) \equiv J(O)^p \pmod{\lambda}$, and hence we also have $J(O_p) \equiv J(O)^p \pmod{\lambda}$. Further, $J(O_p)^g \equiv (J(O)^g)^p \pmod{\lambda}$, where $g \in G(K_p/K)$ corresponds to the class of the ideal i_p . Hence $J_1(z_p) = J(O_p) \equiv J_1(z_1)^p \pmod{\lambda}$ and $J_2(z_p) = J(i_p^{-1}) = J(O_p)^g \equiv J_2(z_1)^p \pmod{\lambda}$. Therefore

$$\begin{aligned} x(z_p) &= \frac{P_2(J_1(z_p), J_2(z_p))}{Q_2(J_1(z_p), J_2(z_p))} \equiv \frac{P_2(J_1(z_1)^p, J_2(z_1)^p)}{Q_2(J_1(z_1)^p, J_2(z_1)^p)} \\ &\equiv \left(\frac{P_2(J_1(z_1), J_2(z_1))}{Q_2(J_1(z_1), J_2(z_1))} \right)^p = x(z_1)^p \pmod{\lambda}. \end{aligned}$$

Analogously,

$$y(z_p) \equiv y(z_1)^p \pmod{\lambda}$$

(by hypothesis $Q_1(J_1(z_1), J_2(z_1)), Q_2(J_1(z_1), J_2(z_1))$, and hence also $Q_1(J_1(z_p), J_2(z_p)), Q_2(J_1(z_p), J_2(z_p))$ are algebraic integers relatively prime to p). ■

In what follows we assume that $w_N(\gamma^*(w)) = -\gamma^*(w)$, where $w_N: X_N \rightarrow X_N$ is the principal involution: $w_N(\tau) = -\frac{1}{N\tau}$. This is equivalent to the fact that the function $L(E, \mathbf{Q}, s)$ has a zero of even order at $s = 1$. It is easy to see that then $\gamma(w_N(x)) = -\gamma(x) + \gamma(0)$, where $\gamma(0)$ is the image under γ of a cusp on X_N corresponding to $\tau = 0$. It is known that $\gamma(0) \in E(\mathbf{Q})$ is a point of finite order. As will be shown, it follows from this condition that $y_K^g = -y_K + hy(0)$, where h is the class number of K .

The congruence (3) is used in order to express $(s, c_p)_{D,p}$ by means of invariants of s and y_K . If $W \in \mathbf{N}$, then by μ_W we denote the group of W th roots of 1 in $\overline{\mathbf{Q}}$; $[1, 1]_D: E_D \times E_D \rightarrow \mu_D$ is the Weil pairing (see [5], pp. 100–101). We fix an imbedding $\kappa: \overline{\mathbf{Q}} \rightarrow \mathbf{Q}_p$; κ determines the prime divisor δ of K dividing p . We assume that δ is a principal ideal. In what follows we assume that $(\frac{p}{K}) \neq 0$. Let $D \in \mathbf{N}$ be such that $D|((p+1)/u_p)$, $D|a_p$ if $(\frac{p}{K}) = -1$, and $D|((p-1)/u_p)$ and $D|(a_p-2)$ if $(\frac{p}{K}) = 1$. Recall that t denotes the generator of $G(L_p/H)$. From t we define a generator $\zeta_{D,p} \in \mu_D$ in the following way. Let \mathcal{H} denote the completion of K in $\overline{\mathbf{Q}}_p$; \mathcal{H} coincides with the completion of H , since δ splits in H ; $\theta: \mathcal{H}^* \rightarrow G(L_p/H)$ is the local reciprocity map; F denotes the residue field of \mathcal{H} ; and ξ is a generator of $\mu_{\{|F|-1\}}$ such that $\theta(\xi) = t$. Then we set $\zeta_{D,p} = \xi^a$, where $a = (|F|-1)/D$. For $s \in S_D$ we define an element $e_p(s) \in E_D$ as follows. Let $P \in E(\mathbf{Q}_p)$ be such that P represents s in $E(\mathbf{Q}_p)/D$. Let $\overline{Q} \in E(\overline{\mathbf{Z}}/p)$ and $D\overline{Q} = \text{red}(P)$. Then $e'_p(s) \in E_D$ is determined by the condition $\text{red}(e'_p(s)) = \text{Fr}(\overline{Q}) - \overline{Q}$. We set $e_p(s) = (\text{Fr}+1)e'_p(s)$ if $(\frac{p}{K}) = -1$ and $e_p(s) = e'_p(s)$ if $(\frac{p}{K}) = 1$. Furthermore, we define an element $e_p(y_K) \in E_D$ as

$$\text{red}(e_p(y_K)) = -((p+1+a_p)/D)\text{red}(y_K) + ((p+1)/D)h(\text{red}(y(0)))$$

if $(\frac{p}{k}) = -1$, and as

$$\text{red}(e_p(y_K)) = 2((p+1-a_p)/D)\text{red}(y_K) - ((p+1-a_p)/D)h(\text{red}(\gamma(0)))$$

if $(\frac{p}{k}) = 1$. The right-hand sides of the expressions for $\text{red}(e_p(y_K))$ actually belong to $(E(F))_D$ since, for $(\frac{p}{k}) = -1$,

$$\begin{aligned} & -((p+1+a_p)/D)\text{red}(y_K) + ((p+1)/D)h(\text{red}(\gamma(0))) \\ & = ((p+1)/D)\text{red}(y_K^p) - (a_p/D)\text{red}(y_K) \\ & = ((p+1)/D)\text{Fr}(\text{red}(y_K)) - (a_p/D)\text{red}(y_K), \end{aligned}$$

and $(p+1)\text{Fr}(\text{red}(y_K)) = a_p\text{red}(y_K)$, which follows from Proposition 1, (2), and the fact that K_p/H is totally ramified at prime divisors of p . And if $(\frac{p}{k}) = 1$, then $\text{red}(y_K), \text{red}(\gamma(0)) \in E(\mathbf{Z}/p)$, and the order of $E(\mathbf{Z}/p)$ is equal to $p+1-a_p$ (see §4). We have

PROPOSITION 7. *Let p be the same as in Proposition 6, and suppose that $D|(p+1)/u_p$, $D|A_p$ if $(\frac{p}{k}) = -1$, and $D|(p-1)/u_p$, $D|(a_p-2)$ if $(\frac{p}{k}) = 1$. Then*

$$\zeta_{D,p}^{(s,c_p)_{D,p}} = [e_p(s), e(y_K)]_D. \quad (4)$$

PROOF. Let \mathcal{L} denote the completion of L_p in $\overline{\mathbf{Q}_p}$; $T = G(L_p/H)$ is identified with $G(\mathcal{L}/\mathcal{K})$. First we compute the value of the Tate symbol for arbitrary $s \in E(\mathcal{K})/D$ and $r \in H^1(T, E(\mathcal{L}))$, where here \mathcal{K} is permitted to be an arbitrary finite extension of \mathbf{Q}_p with residue field F and \mathcal{L} is a cyclic totally ramified extension of \mathcal{K} with Galois group $T = t^{\mathcal{L}/D}$ of order D , where $(D, p) = 1$ and $D \mid (|F|-1)$. Let $R \in E(\mathcal{L})$ be such that $N_{\mathcal{L}/\mathcal{K}}(R) = 0$. We denote by $r_R = r_{R,t}$ an element of $H^1(T, E(\mathcal{L}))$ corresponding to the cocycle $\varphi = \varphi_{R,t}: t^k \mapsto (t^{k-1} + \dots + 1)R$ (every element of

$H^1(T, E(\mathcal{L}))$ is obtained in this way). Let \mathcal{M} be a finite extension of \mathcal{K} with residue field F_1 . Since $p \nmid \Delta_1$, E has good reduction at p and the reduction homomorphism $\text{red}: E(\mathcal{M}) \rightarrow E(F_1)$ is defined. Here red is surjective, multiplication by D is an isomorphism onto its kernel $E_0(\mathcal{M})$, and $\text{red}: E_D \rightarrow E(\overline{F})_D$ is an isomorphism. In particular, E_D is unramified as a $G(\overline{\mathbf{Q}}_p/\mathbf{Q}_p)$ -module. All these are standard properties of good reduction (see [6], §6). Since \mathcal{L} is totally ramified over \mathcal{K} , the residue field of \mathcal{L} coincides with F . Since $N_{\mathcal{L}/\mathcal{K}}(R) = 0$, we have $D \text{red}(R) = 0$. We denote by e_R an element of $E(\mathcal{K})_D$ such that $\text{red}(e_R) = \text{red}(R)$. Let $P \in E(\mathcal{K})$ and let s be the class of P in $E(\mathcal{K})/D$. We denote by $\text{Fr}_{\mathcal{K}}$ the Frobenius automorphism of \overline{F} over F . We define $e(s) \in E_D$ by the condition $\text{red}(e(s)) = \text{Fr}_{\mathcal{K}}(\overline{Q}) - \overline{Q}$, where $\overline{Q} \in E(\overline{F})$, $D\overline{Q} = \text{red}(P)$; $a = (|F|-1)/D$, and $\zeta_D = \xi^a$, where ξ is a generator of $\mu_{\mu(|F|-1)}$ such that $\theta(\xi) = t$, where $\theta: \mathcal{K}^* \rightarrow T$ is the reciprocity map.

PROPOSITION 8.

$$\zeta_D^{(s, e_R)_D} = [e(s), e_R]_D. \quad (5)$$

PROOF. The Weil pairing $[\cdot, \cdot]_D: E_D \times E_D \rightarrow \mu_D$ induces a nondegenerate pairing $H^1(\mathcal{K}, E_D) \times H^1(\mathcal{K}, E_D) \rightarrow H^2(\mathcal{K}, \mu_D) \xrightarrow{\sim} \mathbf{Z}/D$. The canonical isomorphism $i: H^2(\mathcal{K}, \mu_D) \xrightarrow{\sim} \mathbf{Z}/D$ is obtained as a composition of the isomorphism

$$H^2(\mathcal{K}, \mu_D) \xrightarrow{\sim} H^2(\mathcal{K}, \overline{\mathcal{K}}^*)_D \xrightarrow{\text{inv}} \frac{1}{D}\mathbf{Z}/\mathbf{Z} \xrightarrow{\sim} \mathbf{Z}/D,$$

where inv is the mapping defined in local class field theory (see [7], p. 131). Further, we have the exact sequence

$$0 \rightarrow E(\mathcal{K})/D \rightarrow H^1(\mathcal{K}, E_D) \rightarrow H^1(\mathcal{K}, E)_D \rightarrow 0,$$

where $E(\mathcal{H})/D$ is an isotropic subgroup of $H^1(\mathcal{H}, E_D)$ relative to the pairing

$$H^1(\mathcal{H}, E_D) \times H^1(\mathcal{H}, E_D) \rightarrow \mathbf{Z}/D$$

and the induced nondegenerate pairing $E(\mathcal{H})/D \times H^1(\mathcal{H}, E_D) \rightarrow \mathbf{Z}/D$ is the Tate symbol (see [8]).

We set

$$\begin{aligned} C &= ((D-1) + (D-2)t + \cdots + t^{D-2})R; \\ (t-1)C &= (D-1)t + (D-2)t^2 + \cdots + t^{D-1} - (D-1) - (D-2)t - \cdots - t^{D-2} \\ &= (1+t+\cdots+t^{D-1}-D)R = -DR. \end{aligned}$$

If $g \in G(\overline{\mathcal{H}}/\mathcal{H})$, then by \bar{g} we denote the image of g in $G(\mathcal{L}/\mathcal{H})$. Let $\tilde{C} \in E(\overline{\mathcal{H}})$ be such that $D\tilde{C} = C$. The mapping $\psi: g \mapsto \varphi(\bar{g}) + (g-1)\tilde{C}$ is a cocycle in E_D . In fact, it is obvious that ψ is a cocycle in $E(\overline{\mathcal{H}})$, and if $\bar{g} = t^k$, then

$$\begin{aligned} D\psi(g) &= D(t^{k-1} + \cdots + 1)R + (t^k - 1)C \\ &= D(t^{k-1} + \cdots + 1)R + (t^{k-1} + \cdots + 1)(t-1)C \\ &= (t^{k-1} + \cdots + 1)DR - (t^{k-1} + \cdots + 1)DR = 0. \end{aligned}$$

The class b of the cocycle ψ in $H^1(\mathcal{H}, E_D)$ is mapped onto r_R in $H^1(\mathcal{H}, E_D)$. Hence, we can use it in the computation of the Tate symbol. We have $R = e_R + R_0$, where $R_0 \in E_0(\mathcal{L})$, where $E_0(\mathcal{L})$ is the kernel of red. Since $E_0(\mathcal{L})$ is D -divisible, there is an $\tilde{R}_0 \in E_0(\mathcal{L})$ such that $D\tilde{R}_0 = R_0$ and

$$C = ((D-1) + (D-2)t + \cdots + t^{D-2})e_R + D((D-1) + (D-2)t + \cdots + t^{D-2})\tilde{R}_0.$$

We set

$$\tilde{C} = \tilde{e}_R + ((D-1) + (D-2)t + \cdots + t^{D-2})\tilde{R}_0,$$

where $D\tilde{e}_R = ((D-1) + (D-2)t + \cdots + t^{D-2})e_R$. Since we are interested in the value of the pairing of the cohomology class in $H^1(\mathcal{H}, E_D)$ corresponding to $s \in E(\mathcal{H})/D$ with the class b , then because $E(\mathcal{H})/D$ is isotropic, we can simply replace \tilde{C} by $((D-1) + (D-2)t + \cdots + t^{D-2})\tilde{R}_0$. Thus,

$$\tilde{C} = ((D-1) + (D-2)t + \cdots + t^{D-2})\tilde{R}_0.$$

Suppose $\bar{g} = t^k$. Then

$$\begin{aligned}\psi(g) &= (t^{k-1} + \cdots + 1)R + (t^{k-1} + \cdots + 1)(t-1)((D-1) + \cdots + t^{D-2})\tilde{R}_0 \\ &= (t^{k-1} + \cdots + 1)R - (t^{k-1} + \cdots + 1)D\tilde{R}_0 = (t^{k-1} + \cdots + 1)e_R = ke_R.\end{aligned}$$

That is, the corresponding cohomology class $b \in H^1(\mathcal{H}, E_D)$ is simply the homomorphism $G(\overline{\mathcal{H}}/\mathcal{H}) \rightarrow E_D$ induced by the homomorphism of $G(\mathcal{L}/\mathcal{H})$ into E_D under which $t^k \mapsto ke_R$. Let η be the uniformizing parameter of \mathcal{H} which is a norm from \mathcal{L} ; we have $\mathcal{H}^*/\mathcal{H}^{*D} = \eta^{\mathbf{Z}/D} \xi^{\mathbf{Z}/D}$. We denote by G_D the Galois group of the maximal abelian D -periodic extension of \mathcal{H} ; $\theta: \mathcal{H}^*/\mathcal{H}^{*D} \rightarrow G_D$ is an isomorphism, and we identify G_D with $\mathcal{H}^*/\mathcal{H}^{*D}$. The cocycle $\varphi_1: G_D \rightarrow E_D$ corresponding to $s \in E(\mathcal{H})/D$ is determined by the values $\varphi_1(\xi) = 0$ and $\varphi_1(\eta) = e(s)$, since $\mathcal{H}(Q)$ is an unramified extension of \mathcal{H} , where $DQ = P$ and s is the class of P in $E(\mathcal{H})/D$. The cocycle φ_2 corresponding to r_R is determined by the values $\varphi_2(\xi) = e_R$, $\varphi_2(\eta) = 0$. The cohomology class $\varphi_1 - \varphi_2 \in H^2(G_D, \mu_D)$ is defined by a bilinear mapping $B_1: G_D \times G_D \rightarrow \mu_D$ such that $B_1(\eta, \eta) = 1$, $B_1(\eta, \xi) = [e(s), e_R]_D$, $B_1(\xi, \eta) = 1$, and $B_1(\xi, \xi) = 1$. Since $\mu_D \subset \mathcal{H}^*$, the Hilbert symbol $(\ , \)_D: \mathcal{H}^* \times \mathcal{H}^{*D} \rightarrow \mathcal{H}^* / \mathcal{H}^{*D} \rightarrow \mu_D$ is defined. If

$\beta \in \mathcal{H}^*$, then β is associated to a $\varphi_\beta \in H^1(G_D, \mu_D)$ such that $\varphi_\beta(g) = g(\tilde{\beta})/\tilde{\beta}$, where $(\tilde{\beta})^D = \beta$; $(\alpha, \beta)_D \stackrel{\text{def}}{=} \varphi_\beta(\theta(\alpha))$. An equivalent definition (see [9], §8.11) is the following. We define homomorphisms $\bar{\varphi}_\alpha: G_D \rightarrow \mathbf{Z}/D$ and $\bar{\varphi}_\beta: G_D \rightarrow \mathbf{Z}/D$ by the conditions $\zeta_D^{\bar{\varphi}_\alpha(g)} = \varphi_\alpha(g)$ and $\zeta_D^{\bar{\varphi}_\beta(g)} = \varphi_\beta(g)$. We define an element of $H^2(G_D, \mu_D)$ by the bilinear form $B_{\alpha, \beta}(g_1, g_2) = \zeta_D^{(\bar{\varphi}_\alpha(g_1)\bar{\varphi}_\beta(g_2))}$. Then $(\alpha, \beta)_D = \zeta_D^{(D \operatorname{Inv} B_{\alpha, \beta})}$. ($D \operatorname{Inv} B_{\alpha, \beta}$ is defined as an element of \mathbf{Z}/D .) In particular, we have

$$\varphi_\xi(\xi) = (\xi, \xi)_D = 1, \quad \varphi_\xi(\eta) = (\eta, \xi)_D = \zeta_D, \\ \varphi_{-\eta}(\xi) = (\xi, -\eta)_D = (-\eta, \xi)_D^{-1} = (\eta, \xi)_D^{-1} = \zeta_D^{-1}, \quad \varphi_{-\eta}(\eta) = (\eta, -\eta)_D = 1.$$

Therefore $B_{\xi, -\eta}(\eta, \eta) = 1$, $B_{\xi, -\eta}(\eta, \xi) = \zeta_D^{-1}$, $B_{\xi, -\eta}(\xi, \eta) = 1$, and $B_{\xi, -\eta}(\xi, \xi) = 1$. Let $[e(s), e_R]_D = \zeta_D^x$, $x \in \mathbf{Z}/D$. Then $B_1 = B_{\xi, -\eta}^{-x}$. Hence, $D \operatorname{Inv} B_1 = (-x) D \operatorname{Inv} B_{\xi, -\eta}$. But $\zeta_D^{(D \operatorname{Inv} B_{\xi, -\eta})} = (\xi, \eta)_D = \zeta_D^{-1}$. Hence $D \operatorname{Inv} B_1 = x$, which proves Proposition 8. ■

If G is a finite group, B is a subgroup of G , and A is a G -module, then the mapping $\operatorname{cor}: H^1(B, A) \rightarrow H^1(G, A)$ is defined in the following way. Let $\bar{\varphi} \in H^1(B, A)$ be the class of a cocycle $\varphi: B \rightarrow A$. Let $\{\alpha_k\}$ be a system of representatives for G/B : $G = \bigcup \alpha_k B$. We define a mapping $\varphi': G \rightarrow A$ by setting $\varphi'(\alpha_k b) = \alpha_k \varphi(b)$. In addition, we define a mapping $\psi: G \rightarrow A$ such that $\psi(g) = \sum_j \varphi'(g\alpha_j)$. Then ψ is a cocycle of G in A , and $\bar{\psi} = \operatorname{cor}(\bar{\varphi})$.

Let $G = G(L_p/\mathbf{Q})$ and $B = T = t^{\mathbf{Z}/D}$. We recall that we have assumed that $\bar{\mathbf{Q}}$ is embedded in \mathbf{C} and that σ denotes the automorphism of complex conjugation. We choose a system of representatives $\{\beta_j\}$ of $G(L_p/K)/T$ in $G(L_p/K)$. Then $\{\beta_j, \sigma\beta_j\}$ will be a system of representatives of G/T . We recall that the cohomology class

with a system of representatives of \mathcal{O}/\mathfrak{a} . We recall that the cohomology class $r_p \in H^1(T, E(L_p))$ is defined by the cocycle $\varphi: t^k \mapsto (t^{k-1} + \cdots + 1)R_p$, where $R_p = u_p N_{K_p/L_p}(y_p) - (a_p/D)y_1$ if $(\frac{p}{K}) = -1$ and $R_p = u_p N_{K_p/L_p}(y_p) - ((a_p - 2)/D)y_1$ if $(\frac{p}{K}) = 1$; $c_p \in H^1(G, E(L_p))$, $c_p = \text{cor}(r_p)$; and c_p is given by a cocycle $\psi: G \rightarrow E(L_p)$, constructed as above from φ and $\{\beta_j, \sigma\beta_j\}$. In particular,

$$\begin{aligned}\psi(t) &= \sum_j \varphi'(t\beta_j) + \sum_j \varphi'(\iota\sigma\beta_j) = \left(\sum_j \beta_j \right) \varphi(t) + \sigma \left(\sum_j \beta_j \right) \varphi(t^{-1}) \\ &= \left(\sum_j \beta_j \right) \varphi(t) - \sigma \left(\sum_j \beta_j \right) t^{-1}\varphi(t) = \left(\sum_j \beta_j \right) \varphi(t) - \iota\sigma \left(\sum_j \beta_j \right) \varphi(t).\end{aligned}$$

(σ acts by inversion on $G(L_p/K)$). Further,

$$\psi(\sigma) = \sum_j \varphi'(\sigma\beta_j) + \sum_j \varphi'(\beta_j) = 0 \quad (\varphi(1) = 0).$$

First we consider the case $(\frac{p}{K}) = 1$. Taking (5) into account, in order to prove (4) it suffices to show that

$$\begin{aligned}\text{red} \left(\left(\sum_j \beta_j \right) R_p - \iota\sigma \left(\sum_j \beta_j \right) R_p \right) \\ = 2((p+1-a_p)/D)\text{red}(y_K) - ((p+1-a_p)/D)h(\text{red}(\gamma(0))).\end{aligned}$$

We first show that $y_K^\sigma = -y_K + h\gamma(0)$. Let \mathfrak{a} be a proper \mathcal{O}_p -ideal, α the image of a in $C_{K,p}^I$. Let n be an ideal of O such that $O/n \simeq \mathbf{Z}/N$. We set $n_p = n \cap \mathcal{O}_p$. Let (α, n_p) denote a point of X_N defined over K_p , corresponding to the isogeny $(C/a \rightarrow \mathbf{C}/(n_p^{-1}a))$; $\lambda \in C_{K,p}$ acts on (α, n_p) in the following way: $(\alpha, n_p)^{\theta(\lambda)} = (\alpha\lambda^{-1}, n_p)$,

and $(\alpha, n_p)^\sigma = (\alpha^\sigma, n_p^\sigma)$. The principal involution $w_N: X_N \rightarrow X_N$ maps (α, n_p) into $(\alpha[n_p], n_p^\sigma)$. Here $[n_p]$ is the image of n_p in $C/I_{K,p}$ (see [2]). Further, $\gamma \circ w_N = -\gamma + \gamma(0)$. We have

$$\begin{aligned} \gamma((\alpha, n_p)^\sigma) &= \gamma((\alpha, n_p)^\sigma) = \gamma((\alpha^\sigma, n_p^\sigma)) = \gamma((\alpha^\sigma[n_p^{-1}]I[n_p], n_p^\sigma)) \\ &= \gamma(w_N((\alpha^\sigma[n_p^{-1}], n_p))) = -\gamma((\alpha^\sigma, n_p))^{\theta(n_p)} + \gamma(0). \end{aligned}$$

In particular, $y_p^\sigma = -y_p^{\theta(i_p)} + \gamma(0)$. Analogously, $y_1^\sigma = -y_1^{\theta(i)} + \gamma(0)$. Passing to the norm from H to K in the last equality, we will obtain $y_K^\sigma = -y_K + h\gamma(0)$ (h is the class number of K); (3) is equivalent to the congruence $\text{red}(g(y_p)) = \text{Fr}(\text{red}(g(y_1)))$ $\forall g \in G(K_p/\mathbf{Q})$. Hence

$$\begin{aligned} \text{red}\left(\left(\sum \beta_j\right) R_p\right) &= ((p-1)/D - (a_p-2)/D)\text{red}(y_K) \\ &= ((p+1-a_p)/D)\text{red}(y_K), \\ \text{red}\left(\sigma\left(\sum \beta_j\right) R_p\right) &= ((p+1-a_p)/D)\text{red}(y_K^\sigma) \\ &= -((p+1-a_p)/D)(\text{red}(y_K)((p+1-a_p)/D)h(\text{red}(\gamma(0)))). \end{aligned}$$

Therefore,

$$\begin{aligned} \text{red}\left(\left(\left(\sum \beta_j\right) - t\sigma\left(\sum \beta_j\right)\right) R_p\right) \\ = 2((p+1-a_p)/D)\text{red}(y_K) - ((p+1-a_p)/D)h(\text{red}(\gamma(0))), \end{aligned}$$

which proves (4) for the case $(\frac{p}{K}) = 1$. Now we consider the case $(\frac{p}{K}) = -1$. The decomposition group of p , i.e., $G(\mathcal{L}/\mathbf{Q}_p)$, where \mathcal{L} is the completion of L_p in $\overline{\mathbf{Q}}_p$, is a subgroup of G , generated by T and σ . Let c_{1p} denote the image of r_p under cor: $H^1(T, E(L_p^n)) \rightarrow H^1(G(L_p^n/K), E(L_p^n))$. As above, using the system $\{\beta_i\}$ we

under $\text{cor}: H^1(I, E(L_p)) \rightarrow H^1(G(L_p/\mathbf{K}), E(L_p))$. As above, using the system $\{\rho_j\}$ we choose a cocycle $\psi_1: G(L_p/K) \rightarrow E(L_p)$ corresponding to $c_{1,p}$. In particular, $\psi_1(t) = (\sum \beta_j)\phi(t)$ and $\psi_1(t^{-1}) = (\sum \beta_j)\phi(t^{-1})$. Let $c_{2,p}$ denote the restriction of $c_{1,p}$ to T . If we take $\{1, \sigma\}$ to be a system of representatives of $G(\mathcal{L}/\mathbf{Q}_p)/T$, then the corestriction of $c_{2,p}$ to $G(\mathcal{L}/\mathbf{Q}_p)$ is determined by a cocycle $\tilde{\psi}$ such that $\tilde{\psi}(t) = \psi'_1(t) + \psi'_1(t\sigma) = \psi_1(t) + \sigma\psi_1(t^{-1}) = \psi(t)$ and $\tilde{\psi}(\sigma) = \psi'_1(\sigma) + \psi'_1(1) = 0$. That is, $\tilde{\psi} = \psi$. Hence, the restriction $c_{3,p}$ of c_p to $G(\mathcal{L}/\mathbf{Q}_p)$ is the corestriction of $c_{2,p}$ from T to $G(\mathcal{L}/\mathbf{Q}_p)$. Further, we shall use the fact that

$$\langle s, \text{cor}(x) \rangle_{D, Q_p} = \langle s, x \rangle_{D, \mathcal{R}} \quad \forall x \in H^1(G(\mathcal{L}/\mathcal{R}), E(\mathcal{L})),$$

This general property of $\langle \cdot, \cdot \rangle$ follows from the definition of $\langle \cdot, \cdot \rangle$ (see above), the general properties of the \rightsquigarrow -product (see [7], p. 107), and the commutative diagram connecting the mapping inv in a tower of local fields (see [7], p. 139). In particular,

$$\langle s, c_p \rangle_{D,p} \stackrel{\text{def}}{=} \langle s, c_{3,p} \rangle_{D, \mathbf{Q}_p} = \langle s, c_{2,p} \rangle_{D, \mathcal{R}};$$

(4) will follow from (5) if we show that

$$\text{red}\left(\left(\sum \beta_j\right) R_p\right) = -((p+1+a_p)/D)\text{red}(y_K) + ((p-1)/D)h(\text{red}(\gamma(0))).$$

In fact, from (3) it follows that

$$\begin{aligned} \text{red}\left(\left(\sum \beta_j\right) R_p\right) &= ((p+1)/D)\text{Fr}(\text{red}(y_K)) - (a_p/D)\text{red}(y_K) \\ &= ((p+1)/D)\text{red}(y_K^\sigma) - (a_p/D)\text{red}(y_K) \\ &= -((p+1)/D)\text{red}(y_K) + ((p+1)/D)h(\text{red}(\gamma(0))) \\ &\quad - (a_p/D)\text{red}(y_K) \\ &= -((p+1+a_p)/D)\text{red}(y_K) + ((p+1)/D)h(\text{red}(\gamma(0))). \end{aligned}$$

§4. The finiteness theorem

We introduce the notation needed for the statement of the theorem. Let K' denote the compositum of K and the field $k = \text{End}(E) \otimes \mathbf{Q}$. For a rational prime number l we denote by G_{l^∞} the group $G(K'(E_{l^\infty})/K')$, where $E_{l^\infty} = \bigcup E_{l^n}$, and by G_{l^n} the group $G(K'(E_{l^n})/K')$. If $\text{End}(E) = \mathcal{O}$ is an order in an imaginary quadratic extension k of \mathbf{Q} , then \mathcal{O} has one class (since E is defined over \mathbf{Q}) and the choice of a projective system of generators $e_n \in E_{l^n}$ such that $E_{l^n} = (\mathcal{O}/l^n)e_n$, $le_{n+1} = e_n$, defines embeddings $\rho_n: G_{l^n} \hookrightarrow (\mathcal{O}/l^n)^*$ and $\rho: G_{l^\infty} \hookrightarrow \hat{\mathcal{O}}^*$, where $\hat{\mathcal{O}}$ is the l -completion of \mathcal{O} .

If E does not have complex multiplication, i.e., $\text{End}(E) = \mathbf{Z}$, then the choice of a projective system of generators $e_{1,n}, e_{2,n} \in E_{l^n}$ such that $E_{l^n} = (\mathbf{Z}/l^n)e_{1,n} + (\mathbf{Z}/l^n)e_{2,n}$, $le_{j,n+1} = e_{j,n}$ defines embeddings $\rho_n: G_{l^n} \hookrightarrow \text{GL}_2(\mathbf{Z}/l^n)$ and $\rho: G_{l^\infty} \hookrightarrow \text{GL}_2(\mathbf{Z}_l)$.

Suppose $\text{End}(E) = \mathbf{Z}$. If $\rho(G_{l^\infty}) = \text{GL}_2(\mathbf{Z}_l)$, then we set $m_{1l} = 0$. If $\rho(G_{l^\infty}) \neq \text{GL}_2(\mathbf{Z}_l)$, then we define m_{1l} as the least $m \in \mathbf{N}$ such that $\rho(G_{l^\infty}) \supset I + l^m M_2(\mathbf{Z}_l)$ ($M_2(\mathbf{Z}_l)$ is the ring of 2×2 matrices over \mathbf{Z}_l , and I is the identity matrix). Suppose $\text{End}(E) = \mathcal{O}$. We denote by $\Delta(\mathcal{O})$ the discriminant of \mathcal{O} . If $\rho(G_{l^\infty}) = \hat{\mathcal{O}}^*$, then we set $m_{1l} = 0$ if $l \neq 2$, and $m_{1l} = 0$ if $l = 2$ and either $2|l(\mathcal{O})$ or 2 remains prime in k . If $2 \nmid \Delta(\mathcal{O})$ and 2 splits in k , we set $m_{12} = 1$. If $\rho(G_{l^\infty}) \neq \hat{\mathcal{O}}^*$, then we define m_{1l} as the least $m \in \mathbf{N}$ such that $\rho(G_{l^\infty}) \supset I + l^m \hat{\mathcal{O}}$. Further, we denote by m'_{2l} the least $m \in \mathbf{Z}_+$ such that l^m annihilates $H^1(G_{l^\infty}, E_{l^\infty})$. From classical results in case E has complex multiplication and from the results of Serre in the case $\text{End}(E) = \mathbf{Z}$ (see [8], §5.1) it follows that m_{1l} and m'_{2l} exist for all l and are zero for almost all l . By $m''_{2l} \leq m'_{2l}$ we denote the least $m \in \mathbf{Z}_+$ such that l^m annihilates $H^2(G_{l^n}, E_{l^n}) \cap S_{l^n}(K') \forall n$. Here $S_{l^n}(K')$ is the l^n th Selmer group of the field K' and the intersection is in $H^1(K', E_{l^n})$. We set $m''_{2l} = 1$ if $K' \cong \mathbf{Q}(\sqrt{-1})$ and $l = 2$, and l

We set $m''_{2l} = 1$ if $K' \cong \mathbf{Q}(\sqrt{-1})$ and $l = 2$, and l

We set $m_{2l} = m''_{2l} + 1$ if $K = \mathbf{Q}(\sqrt{-3})$ and $l = 2$; or if $K = \mathbf{Q}(\sqrt{-3})$ and $l = 3$; we set $m_{2l} = m''_{2l}$ in the remaining cases. For an arbitrary rational q such that $q|N$ and $(q, \Delta) = 1$ (Δ is the discriminant of K), we denote by M_q the period of the finite group $H^1(\mathbf{Q}_q, E)_{\text{nr}}$ (the subgroup of $H^1(\mathbf{Q}, E)$ of homogeneous spaces that split over the maximal unramified extension of \mathbf{Q}_q (see [10], §2, Appendix 2, no. 1)). If $q|N$ and $q|\Delta$, then we denote by M_q the period of the finite group $H^1(\mathcal{H}', E)_{\text{nr}}$, where \mathcal{H}' is the completion of K with respect to a prime divisor $\delta|q$; M is the least common multiple of all the M_q .

We set $x_K = M(y_K - y_K^\sigma) = M(2y_K - hy(0))$ if $l \neq 2$ or $l = 2$ and $Mhy(0)$ is a point (in $E(\mathbf{Q})$) of even period, and $x_K = My_K$ if $l = 2$ and $Mhy(0)$ is a point of odd period. We denote by $\varepsilon_l(n)$ the least $\varepsilon \in \mathbf{Z}_+$ such that $l^\varepsilon x_K \in l^n E(K)$. If y_K is a point of infinite order, then we denote by m'_{3l} the greatest $m \in \mathbf{Z}_+$ such that $x'_K \in l^m E(K)'$, where $E(K)'$ is the factor group of $E(K)$ by the subgroup of elements of finite order, so that $E(K)' \simeq \mathbf{Z}^{g_K}$, where g_K is the rank of E over K . Obviously, $n - \varepsilon_l \leq m'_{3l}$. If y_K is a point of infinite order, then we denote $\max_n(n - \varepsilon_l(n)) \leq m'_{3l}$ by $m_{3l}; m_{3l} = 0$ for almost all l . We set $\delta'_l = 0$ if $l \neq 2$, and $\delta'_2 = 1$. We set $\delta'_l = 0$ if $l \neq 2$, $\delta'_2 = 0$ if E has complex multiplication or the automorphism of complex conjugation σ acts nontrivially on E_2 , and $\delta'_2 = 1$ otherwise.

We set $\delta''_l = 0$ if $l \neq 2$, $\delta''_2 = 0$ if $H^1(G(K/\mathbf{Q}), E(K) \cap E_{2^n}) \cap S_{2^n}$ are trivial for all n (intersection in $H^1(\mathbf{Q}, E_{2^n})$), and $\delta''_2 = 1$ otherwise. Let $\delta'''_l = \text{ord}_l([k/\mathbf{Q}])$. We set $m_{4l} = 2\delta_l + 2\delta'_l + \delta''_l + 2\delta'''_l$. Finally, we set m_{5l} to be the exponent of the power of l in the expansion of the discriminant of the endomorphism ring of E . In particular, $m_{5l} = 0$ if E does not have complex multiplication. We set $m_l = 2m_{1l} + 2m_{2l} + m_{4l} + 2m_{5l}; m_l \in \mathbf{Z}_+$, and $m_l = 0$ for almost all l . If y_K is a point of infinite order, then we denote by $C = C(E, K)$ the natural number $\prod_l l^{m_{3l}+m_l}; Z$ is

the finite set of pairs (K, i) described in §2. We have

THEOREM 1. Suppose $(K, i) \notin Z$. Then, for all n , $I^{n-\varepsilon_i(n)+m_l}$ annihilates S_{l^n} (the l^n th Selmer group of E over \mathbf{Q}). If y_K is a point of infinite order, then $I^{m_{3l}+m_l}$ annihilates $S_{l^n} \forall n$.

The second assertion of the theorem follows from the first one, since if y_K is a point of infinite order, then by definition $n - \varepsilon_l(n) \leq m_{3l}$.

COROLLARY 1. Suppose $(K, i) \notin Z$. If y_K is a point of infinite order, then $E(\mathbf{Q})$ and $\Pi(E, \mathbf{Q})$ are finite groups, and the natural number $C(E, K)$ annihilates $S_D \forall D \in N$, $E(\mathbf{Q})$, and $\Pi(E, \mathbf{Q})$.

PROOF OF COROLLARY 1. We have the exact sequence

$$0 \rightarrow E(\mathbf{Q})/D \rightarrow S_D \rightarrow \Pi(E, \mathbf{Q})_D \rightarrow 0.$$

If y_K is a point of infinite order, then it follows from Theorem 1 that C annihilates $S_D \forall D$. In particular, C annihilates $E(\mathbf{Q})/D$. Hence, $E(\mathbf{Q})$ is finite, since by the Mordell-Weil theorem $E(\mathbf{Q}) \simeq A \times \mathbf{Z}_g^s$, where A is a finite group and $g \in \mathbf{Z}_+$ is the rank of E over \mathbf{Q} . Further, C annihilates Π_D for all D , and hence $\Pi = \Pi_C$. But Π_C is finite, since S_C is finite (as is well known, S_D is finite $\forall D$, which follows from the finiteness of the group of divisor classes of the field $\mathbf{Q}(E_D)$). ■

COROLLARY 2. Suppose $(K, i) \notin Z$, and $(\Delta, 2N) = 1$. Then the groups $E(\mathbf{Q})$ and $\Pi(E, \mathbf{Q})$ are finite if $L(E, \mathbf{Q}, 1)L'(E, \mathbf{Q}, \chi_K, 1) \neq 0$.

PROOF OF COROLLARY 2. In [2] Gross and Zagier obtained the formula

PROOF OF COROLLARY 2. In [z] Gross and Zagier obtained the formula

$$b(K)L(E, \mathbf{Q}, 1)L'(E, \mathbf{Q}, \chi_K, 1) = \text{height}(\gamma_K), \quad b(K) \neq 0,$$

where $\text{height}(\gamma_K)$ is the canonical height. Hence, if $L(E, \mathbf{Q}, 1)L'(E, \mathbf{Q}, \chi_K, 1) \neq 0$, then γ_K is a point of infinite order. ■

Let f be a rational prime number, $(f, N) = 1$, $(f, a_f) = 1$, $\left(\frac{f}{K}\right) = 1$, and $(\Delta, 2N) = 1$. Suppose $\overline{\mathbf{Q}}$ is embedded in $\overline{\mathbf{Q}_f}$. According to [4], there is an f -adic analogue of the Gross-Zagier formula

$$b_f(K)L_f(E, \mathbf{Q}, 1)L'_f(E, \mathbf{Q}, \chi_K, 1) = \text{height}_f(\gamma_K)$$

with explicit $b_f(K) \neq 0$. If $L_f(E, \mathbf{Q}, 1)L'_f(E, \mathbf{Q}, \chi_K, 1) \neq 0$, we set

$$\nu_f(K) = \frac{1}{2}(\text{ord}_f(b_f(K)) + \text{ord}_f(L_f(E, \mathbf{Q}, 1)L'_f(E, \mathbf{Q}, \chi_K, 1))).$$

Since height_f is quadratic, we obviously have

COROLLARY 3. Suppose $(K, i) \notin \mathbb{Z}$, $(\Delta, 2N) = 1$, and f is a rational prime number such that $(f, N) = 1$, $(f, a_f) = 1$, and $\left(\frac{f}{K}\right) = 1$. If $L_f(E, \mathbf{Q}, 1)L'_f(E, \mathbf{Q}, \chi_K, 1) \neq 0$, then $E(\mathbf{Q})$ and $\mathfrak{W}(E, \mathbf{Q})$ are finite. Here $m_{3f} \leq \text{ord}_f(M) + \nu_f(K)$ if $f \neq 2$ or $f = 2$ and $M h \gamma(0) \in E(\mathbf{Q})_{\text{tor}}$ is a point of odd period, and $m_{3f} \leq \text{ord}_f(M) + \nu_f(K) + 1$ if $f = 2$ and $M h \gamma(0)$ is a point of even period. ■

COROLLARY 4. The group $\mathfrak{W}(X_{17}, \mathbf{Q})$ is finite.

PROOF OF COROLLARY 4. For a rational prime g , $g \equiv 3 \pmod{4}$, $\left(\frac{-g}{17}\right) = 1$, it is known (Mazur [11], p. 237) that $\gamma_{\mathbf{Q}(\sqrt{-g})}$ has a point of infinite order on the elliptic curve X_{17} . ■

We proceed to the proof of Theorem 1. First we outline it. We bound from below those p that remain prime in K . Let $D = l^n, D|((p+1)/u_p)$, and $D|a_p$. Replacing c_p by Mc_p reduces the equality $\sum_q \langle s, c_p \rangle_{D,q} = 0$ to $\langle s, Mc_p \rangle_{D,p} = 0$. We shall prove this. Assume first that $(q, \Delta) = 1$, i.e., that q does not ramify in K (in K only the divisors of Δ are ramified). If $q|N$, then $\langle s, Mc_p \rangle_{D,q} = 0$, since by definition M annihilates $H^1(\mathbf{Q}_q, E)_{\text{nr}}$, and the q -localization of c_p belongs to $H^1(\mathbf{Q}_q, E)_{\text{nr}}$, since q is not ramified in K_p (in K_p only divisors of Δ and p , $(p, N) = 1$, are ramified). Analogously, $\langle s, c_p \rangle_{D,q} = 0$ if $(q, N) = 1, q \neq p$, since $H^1(\mathbf{Q}_q, E)_{\text{nr}} = 0$ in this case (see [10], §2, Appendix 2, no 1), since outside of N the curve E has good reduction. For $q|\Delta, q \neq p$, as before we have $\langle s, Mc_p \rangle_{D,q} = 0$, since $\langle s, Mc_p \rangle_{D,q} = \langle s, Mc'_p \rangle_{D,\delta}$, where c'_p is the corestriction of r_p in $H^1(G(L_p/K), E(L_p))$ and δ is a prime divisor of K dividing q (this is verified in the same way as above: see the end of §3). Further, $c'_p \in H^1(\mathcal{H}', E)_{\text{nr}}$, where \mathcal{H}' is the δ -completion of K , and M annihilates $H^1(\mathcal{H}', E)_{\text{nr}}$. Thus $\langle s, Mc_p \rangle_{D,p} = 0$. Using the explicit formula (4), we shall prove

PROPOSITION 9. $\exists \alpha_p, \beta_p \in \mathbf{Z}_+$ such that $\alpha_p + \beta_p \leq n + \rho_p$, where $\rho_p = 0$ if $l \neq 2$, $\rho_p = 1$ if $l = 2$ and $E(\mathbf{Z}/p)_2 \simeq \mathbf{Z}/2$, and $\rho_p = 2$ if $l = 2$ and $E(\mathbf{Z}/p)_2 \simeq \mathbf{Z}/2 + \mathbf{Z}/2$, such that $l^{\alpha_p} s = l^{\beta_p} x_K = 0$ in $E(\mathcal{H})/D$, where $s \in S_D$.

Then, using the Chebotarev density theorem and information about the structure of $G(K'(E_{l^n})/K')$ (see above), from these estimates for the sums of the exponents of the local periods of s and x_K we derive an analogous estimate for the sum of the exponents of the periods of s and x_K in $S_{l^n}(K)$.

PROOF OF PROPOSITION 9. We denote by Ta the Tate module of E corresponding to the number l , i.e., $\text{Ta} = \varprojlim E_{l^n}$ (the reduction homomorphism identifies E_{l^n} with

$E(\overline{\mathbf{Z}/p})_{l^n}$; we consider here points of E over $\overline{\mathbf{Z}/p}$; $\mathbf{T}_A \simeq \mathbf{Z}_l + \mathbf{Z}_l$, and in a chosen basis the action of Fr (the Frobenius automorphism of $\overline{\mathbf{Z}/p}$ over \mathbf{Z}/p) is given by the matrix

$$\begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix} \in M_2(\mathbf{Z}_l),$$

where $a_{11} + a_{22} = a_p$ and $a_{11}a_{22} - a_{21}a_{12} = p$. We denote by F a quadratic extension of \mathbf{Z}/p which is the residue field of \mathcal{K} (\mathcal{K} is the p -completion of K). Let A denote $E(F)_{l^\infty}$, i.e., the l -component of $E(F)$. Since $a_{11} + a_{22} \equiv 0 \pmod{D}$ and $a_{11}a_{22} - a_{21}a_{12} \equiv -1 \pmod{D}$, we have $\text{Fr}^2 \equiv I \pmod{D}$, where $I = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$. Hence, $E_D \subset A$. We denote by A_+ the kernel of $\text{Fr} - 1$ on A , i.e., the l -component of $E(\mathbf{Z}/p)$, and by A_- the kernel of $\text{Fr} + 1$ on A ,

$$A_+ \simeq \mathbf{T}_A / (\text{Fr} - 1)\mathbf{T}_A, \quad A \simeq \mathbf{T}_A / (\text{Fr}^2 - 1)\mathbf{T}_A.$$

Let $p + 1 + a_p = l^b r$, where $(r, l) = 1$, and $p + 1 - a_p = l^a v$, where $(v, l) = 1$. By the hypothesis $(D|((p + 1)/u_p), D|a_p) a, b \geq n$; $|A_+| = l^a$ and $|A_-| = l^{a+b}$. We have the exact sequence

$$0 \rightarrow A_+ \rightarrow A \rightarrow (\text{Fr} - 1)A \rightarrow 0.$$

Since $\text{Fr} \pm I$ are nondegenerate matrices, we have $A_- \simeq (\text{Fr} - 1)A$ and $A_+ = (\text{Fr} + 1)A$. Hence

$$|A_-| = |A|/|A_+| = l^b.$$

From the fact that $\langle s, Mc_p \rangle_{D,p} = 0$ and (4) it follows that $[e, e_-]_D = 1$, where $e = e(s) = (\text{Fr} + 1)e'(s)$, $e'(s) \in E_D$, and $e_- = ((p + 1 + a_p)/D)\text{red}(v_{X_K}) \in E_{D-}$, since $\text{Fr}(\text{red}(v_{X_K})) = \text{red}(v_{X_K^g}) = \text{red}(v_{X_K})$. Let $\lambda \in \mathbf{Z}_+$ be such that $l^\lambda E_{D-} \subset [e_-]$, the

subgroup of E_{D-} generated by e_- . Then $l^\lambda e$ is orthogonal to E_{D-} relative to the Weil pairing $[\cdot, \cdot]_D$. In particular,

$$[l^\lambda e, (1 - \text{Fr})\tilde{e}]_D = [(1 + \text{Fr})l^\lambda e, \tilde{e}]_D = 1 \quad \forall \tilde{e} \in E_D.$$

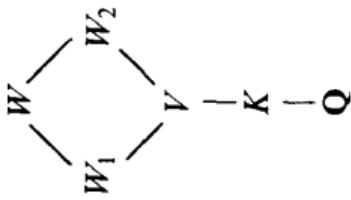
Here we used the fact that $[\text{Fr}(e), \text{Fr}(\tilde{e})]_D = \text{Fr}([e, \tilde{e}]_D)$ (see [5], p. 101), and $\text{Fr}(\zeta) = \zeta^{-1} \forall \zeta \in \mu_D$. Since the pairing $[\cdot, \cdot]_D$ is nondegenerate, we have $(1 + \text{Fr})l^\lambda e = 0$, i.e., $l^\lambda e \in E_{D-}$. On the other hand, $l^\lambda e \in E_{D+}$. Thus, if $l^\lambda e \in [e_-]$, then $l^\lambda e \in E_{D+} \cap E_{D-}$.

We denote $r(\text{red}(vx_K)) \in A_-$ by e_1 . Suppose $l \neq 2$. Then A is the direct sum of A_+ and A_- , and hence $A_+ \simeq \mathbf{Z}/l^a$ and $A_- \simeq \mathbf{Z}/l^b$ (since A is a factor of $\mathbf{Z}_l + \mathbf{Z}_l$). Let $0 \leq \beta \leq n$ be the least integer such that $l^\beta e_1 \in l^n A_-$. We shall show that $l^{n-\beta} E_{D-} \subset [e_-]$ ($E_{D-} = E_D \cap A_-$; $E_{D+} = E_D \cap A_+$). If $\beta = 0$, the assertion is obvious. Suppose $\beta > 0$. Then $e_1 = l^{n-\beta} u \pmod{l^b}$, where $(u, l) = 1$, and $e_- = l^{b-n} e_1 = l^{b-\beta} u \pmod{l^b}$. The generator of E_{D-} is $l^{b-n} \pmod{l^b}$. Therefore $l^{n-\beta} E_{D-} \subset [e_-]$. Consequently, $l^{n-\beta} e \in E_{D+} \cap E_{D-}$. But $E_{D+} \cap E_{D-} = 0$. Hence $l^{n-\beta} e = 0$. Since the mapping red induces an isomorphism $E(\mathcal{K})/D \rightarrow A/D$, we have $l^\beta x_K = 0$ in $E(\mathcal{K})/D$. By definition $e = e(s)$ is $(\text{Fr}^2 - 1)\overline{Q}$, where $D\overline{Q} = \text{red}(P)$ and $P \in E(\mathbf{Q}_p)$ represents s in $E(\mathbf{Q}_p)/D$. The condition $l^{n-\beta} e = 0$ means that $l^{n-\beta} s = 0$ in $E(\mathcal{K})/D$, since the mapping (class of P) $\mapsto (\text{Fr}^2 - 1)\overline{Q}$, where $D\overline{Q} = \text{red}(P)$, gives an imbedding of $E(\mathcal{K})/D$ into E_D . Thus, Proposition 9 is proved for $l \neq 2$.

Now we consider the case $l = 2$. Obviously, $E_{D+} \cap E_{D-} = E_2 \cap A_+ = E_{2+}$. Therefore, if $0 \leq \lambda \leq n$ is such that $l^\lambda E_{D-} \subset [e_-]$, then $l^{\lambda+1} e = 0$. There are two possible cases: $E_{2+} \simeq \mathbf{Z}/2$ and $E_{2+} \simeq \mathbf{Z}/2 + \mathbf{Z}/2$. Suppose $E_{2+} \simeq \mathbf{Z}/2$. Then $A_+ \simeq \mathbf{Z}/2^b$ and $A_- \simeq \mathbf{Z}/2^a$. Let $0 \leq \beta \leq n$ be the minimal integer such that

$A_- \simeq \mathbf{Z}/2^b$ and $A_+ \simeq \mathbf{Z}/2^n$. Let $0 \leq \beta \leq n$ be the minimal integer such that $2^\beta e_1 \in 2^n A_-$. Analogously, as above, we will obtain that $2^{n+1-\beta} s = 2^\beta x_K = 0$ in $E(\mathcal{H})/D$. Now we consider the case $E_{2^+} = E_2 \simeq \mathbf{Z}/2 + \mathbf{Z}/2$. If $n = 1$, then we can take $\alpha + \beta = 1$ in Proposition 9. Suppose $n \geq 2$ and $b = n$. In this case $A_- = E_{D-} \simeq \mathbf{Z}/2^{n-1} + \mathbf{Z}/2$, since for any other structure of A_- we would have $E_{4-} = E_4$, which is impossible since $\text{Fr} + I \not\equiv 0 \pmod{4}$, which follows from the fact that $\det(\text{Fr}) \equiv -1 \not\equiv 1 \pmod{4}$. We note that $e_1 = e_-$ for $b = n$. Let $2^\beta e_1 = 0$, $0 \leq \beta \leq n-1$. Then $2^{n-\beta} E_{D-} \subset [2e_1]$. Therefore we again have $2^{n+1-\beta} s = 2^\beta x_K = 0$ in $E(\mathcal{H})/D$. Suppose $b > n$. Then $A_- \simeq \mathbf{Z}/2^{b-1} + \mathbf{Z}/2$ and $E_{D-} \simeq \mathbf{Z}/2^n + \mathbf{Z}/2$. Let $0 \leq \beta \leq n$ be such that $2^\beta e_1 \in 2^n A_-$. If $\beta = 0$, then we can take $\alpha = n$ and $\beta = 0$ in Proposition 9. Suppose $\beta > 0$. Then the condition $2^\beta e_1 \in 2^n A_-$ is equivalent to the fact that $\bar{e}_1 = 2^{n-\beta} u \pmod{2^{b-1}}$, $(u, l) = 1$, where \bar{e}_1 is the projection of e_1 into $\mathbf{Z}/2^{b-1}$, $e_- = 2^{b-\beta} u \in \mathbf{Z}/2^{b-1}$, E_{D-} is generated by $2^{b-1-n} \in \mathbf{Z}/2^{b-1}$, and $1 \in \mathbf{Z}/2$. Hence, $2^{n+1-\beta} E_{D-} \in [e_-]$. Consequently, we can set $\alpha = n + 2 - \beta$ in Proposition 9. ■

We consider the tower of fields



where $V = K'(E_{D'})$, and D' is defined in the following way: $D' = 2D$ if $K = \mathbf{Q}(\sqrt{-1})$ and $l = 2$, $D' = 3D$ if $K = \mathbf{Q}(\sqrt{-3})$ and $l = 3$, and $D' = D$ in the remaining cases; W_1 and W_2 are D -periodic abelian extensions of V , corresponding to $s_1, s_2 \in H^1(V, E_D) = \text{Hom}(G(\overline{V}/V), E_D)$, where s_1 is the image (restriction) of s in $H^1(V, E_D)$ and s_2 corresponds to x_K ; W is the compositum of W_1 and W_2 . We have imbeddings $s_1: G(W_1/V) \hookrightarrow E_D$ and $s_2: G(W_2/V) \hookrightarrow E_D$. We denote $G(W/V)$ by H and $G(W_j/V)$ by H_j . The image of H_j in E_D relative to the imbeddings $s_j: H_j \hookrightarrow E_D$ will be denoted by Λ_j . We recall that we assume \overline{Q} to be embedded into C , and σ to be the automorphism of complex conjugation. Since s_1 and s_2 generate the eigenspaces relative to the action of $G(V/\mathbf{Q})$ in $H^1(V, E_D)$ ($s_1^g = s_1$; $s_2^g = s_2$ if $g = \text{id}$ on K , and $s_2^g = -s_2$), then W_1/\mathbf{Q} and W_2/\mathbf{Q} are Galois extensions; σ acts in a natural way on H, H_1 , and H_2 : $\eta^\sigma = \sigma\eta\sigma^{-1} = \sigma\eta\sigma$ ($\sigma^2 = 1$). We have

PROPOSITION 10. $\forall \eta \in H \exists \alpha, \beta \in \mathbf{Z}_+$ such that $\alpha + \beta \leq n$ if $l \neq 2$, $\alpha + \beta \leq n + 2$ if $l = 2$, and $(\eta_1^\sigma \eta_1)^\alpha = 1$, $(\eta_2^\sigma \eta_2)^\beta = 1$, where η_j is the restriction of η to W_j .

PROOF. By the Chebotarev density theorem there exist infinitely many rational primes p which are unramified in W and for some prime divisor v of the field W dividing p we have $g = \sigma\eta = \text{Fr}_v$, i.e., g is continuous relative to the v -metric, and the automorphism of W_v over \mathbf{Q}_p induced from it by continuity is the Frobenius automorphism. Throwing away a finite set of prime numbers, we may assume that p is relatively prime to $2\Delta_1$ and $Q_j(J_1(z_1), J_2(z_1))$, $j = 1, 2$ (see Proposition 6). Let $v|w$ and $w|p$, w a prime divisor of the field V . Since $g = \sigma$ on V , then V_w is a quadratic extension of \mathbf{Q}_p and is also a completion of K . From this it follows that p remains prime in K , and $E(F) \supset E_D$, where F is the residue field of V_w (a quadratic extension of $\mathbf{Z}/(p)$ has two quadratic extensions of $\mathbf{Z}/(p)$).

quadratic extension of \mathbf{Z}/p . Hence $D^2|(p+1-a_p)(p+1+a_p)$. Let $D'' = Du_K$, where $u_K = |O_K^*/\mathbf{Z}^*|$. We have an inclusion $\mu_{D''} \subset V$. In fact, $\mu_{D'} \subset \mathbf{Q}(E_{D'})$, which follows from the nondegeneracy of the Weil pairing $[\cdot, \cdot]_D$ and the property $[e_1^f, e_2^f]_D = [e_1, e_2]^f \forall f \in G(\overline{\mathbf{Q}}/\mathbf{Q})$, and $\mu_{2u_K} \subset K$. Since $\zeta^\sigma = \zeta^{-1}$, and, on the other hand, $\zeta^\sigma = \zeta^p$ ($\zeta \in \mu_{D''}$), it follows that $u_K D|(p+1)$. Granting that $u_p | u_K$, we will obtain that $D|((p+1)/u_p)$ and $D|a_p$.

Let \mathcal{W}_1 and \mathcal{W}_2 denote completions of W_1 and W_2 ; V_w coincides with \mathcal{H} , the completion of K , and $G(\mathcal{W}_1/\mathcal{H}) \subset H_1$ is generated by g_1^2 , where g_1 is the restriction of g to W_1 . We note that $g_1^2 = \sigma\eta_1\sigma\eta_1 = \eta_1^\sigma\eta_1$. Analogously, $G(\mathcal{W}_2/\mathcal{H}) \subset H_2$ is generated by $\eta_2^\sigma\eta_2$. But $\mathcal{W}_1 = \mathcal{H}(Q_1)$, where $DQ_1 = P \in E(\mathcal{H})$ and $s = P \pmod{DE(\mathcal{H})}$; also $\mathcal{W}_2 = \mathcal{H}(Q_2)$, where $DQ_2 = x_K$. Therefore $G(\mathcal{W}_1/\mathcal{H})$ is isomorphic to the subgroup generated by s in $E(\mathcal{H})/D$, and $G(\mathcal{W}_2/\mathcal{H})$ is isomorphic to the subgroup generated by x_K in $E(\mathcal{H})/D$. According to Proposition 9, $\exists \alpha, \beta$ such that $\alpha + \beta$ satisfies the hypothesis of Proposition 10, I^α annihilates $G(\mathcal{W}_1/\mathcal{H})$, and I^β annihilates $G(\mathcal{W}_2/\mathcal{H})$. This completes the proof of Proposition 10. ■

LEMMA 1. *Let A , B , and C be groups, and let $\varphi_1: A \rightarrow B$ and $\varphi_2: A \rightarrow C$ be homomorphisms, where $\varphi_1(A)$ and $\varphi_2(A)$ are abelian groups. Assume that $\forall a \in A \exists \alpha, \beta \in \mathbf{Z}_+$ such that $\alpha + \beta \leq n$ and $I^\alpha \varphi_1(a) = I^\beta \varphi_2(a) = 0$. Then $\exists \alpha, \beta \in \mathbf{Z}_+$ such that $\alpha + \beta \leq n$ and, for all $a \in A$, $I^\alpha \varphi_1(a) = I^\beta \varphi_2(a) = 0$.*

PROOF. We shall prove the lemma by induction on n . Suppose $n = 1$. Let $A_1 = \ker(\varphi_1)$ and $A_2 = \ker(\varphi_2)$. By hypothesis $A = A_1 \cup A_2$. We must show that $A_1 = A$ or $A_2 = A$. We assume that this is not so. Then A_1 and A_2 are proper subgroups of A . Since $A = A_1 \cup A_2$, neither of the groups A_1 and A_2 is contained in the other. Hence, $\exists a_1 \in A_1, a_1 \notin A_2$, and $\exists a_2 \in A_2, a_2 \notin A_1$. Then $a_1 = a_2 \notin A_1 \cup A_2$ is a

contradiction. Let $n = m > 1$. For $\varphi'_1 = l^{m-1}\varphi_1$ and $\varphi'_2 = l^{m-1}\varphi_2$ we can apply the lemma for $n = 1$. For example, let $l^{m-1}\varphi_1(A) = 0$. We consider the homomorphisms $\varphi'_1 = \varphi_1$ and $\varphi'_2 = l\varphi_2$. We shall show that the conditions of the lemma hold with $n = m - 1$. In fact, if $\varphi_2(a) = 0$, then we can set $\alpha(a) = m - 1$ and $\beta(a) = 0$, since $l^{m-1}\varphi_1(a) = 0$. If $\varphi_2(a) \neq 0$, then by the hypothesis $\exists \alpha'(a), \beta'(a) \geq 1$ such that $l^{\alpha'(a)}\varphi_1(a) = 0$. Then we set $\alpha(a) = \alpha'(a)$ and $\beta(a) = \beta'(a) - 1$. By induction $\exists \alpha', \beta'$ such that $\alpha' + \beta' \leq m - 1$ and $l^{\alpha'}\varphi_1(A) = l^{\beta'}l\varphi_2(A) = 0$. Then we set $\alpha = \alpha'$ and $\beta = \beta' + 1$. ■

From Lemma 1 and Proposition 10 we get

PROPOSITION 11. $\exists \alpha, \beta \in \mathbf{Z}_+$ such that $\alpha + \beta \leq n$ if $l \neq 2$, $\alpha + \beta \leq n + 2$ if $l = 2$, and, for all $\eta \in H$, $(\eta_1^\sigma \eta_1)^\alpha = 1$ and $(\eta_2^\sigma \eta_2)^\beta = 1$, where η_j is the restriction of η to H_j . ■

Since $s_1^\sigma = s_1$ and $s_2^\sigma = -s_2$, we have $s_1(\eta_1^\sigma) = \sigma(s_1(\eta_1))$ and $s_2(\eta_2^\sigma) = -\sigma(s_2(\eta_2))$. Therefore we have

COROLLARY 5. $\exists \alpha, \beta \in \mathbf{Z}_+$ such that $\alpha + \beta \leq n$ if $l \neq 2$, $\alpha + \beta \leq n + 2$ if $l = 2$, and l^α annihilates $(\sigma + 1)\Lambda_1$ and l^β annihilates $(1 - \sigma)\Lambda_2$. ■

PROPOSITION 12. $\exists \alpha, \beta \in \mathbf{Z}_+$ such that $\alpha + \beta \leq n + 2m_{1l} + 2\delta_l + 2\delta'_l + 2m_{2l}$ and l^α annihilates Λ_1 , while l^β annihilates Λ_2 .

PROOF. Let α' and β' be the same as in Corollary 5. Obviously we may assume that $\alpha' \leq n$ and $\beta' \leq n$. Let $\Lambda'_1 \subset E_{l^{n-\alpha'}}$ be the image of Λ_1 under the homomorphism of multiplication by $l^{\alpha'}$, and let $\Lambda'_2 \subset E_{l^{n-\beta'}}$ be the image of Λ_2 under the homomorphism of multiplication by $l^{\beta'}$. We consider $G'_{l^n} = G(K'(E_{l^n})/K')$ as a subgroup of

pinson or minupcation by τ^n . We consider $G_{l^n} = \mathcal{O}(K^{(L|n)/K})$ as a subgroup of $\mathrm{GL}_2(\mathbf{Z}/l^n)$ or $(\mathcal{O}/l^n)^*$ if $\mathrm{End}(E) = \mathbf{Z}$ or \mathcal{O} respectively. Here \mathcal{O} is an order in an imaginary quadratic extension k/\mathbf{Q} . If A is a σ -module, then by A_+ and A_- we denote the kernel of $\sigma - 1$ and $\sigma + 1$, respectively. From Corollary 5 it follows that $\Lambda'_1 \subset (E_{l^{n-\beta'}})_-$ and $\Lambda'_2 \subset (E_{l^{n-\beta'}})_+$. Moreover, Λ'_1 and Λ'_2 are G_{l^n} -invariant (since W'_1/K' and W'_2/K' are Galois extensions).

LEMMA 2. *Let $e \in E_{l^m}$ be such that the G_{l^m} -orbit of e belongs to $(E_{l^m})_-$ or $(E_{l^m})_+$. Then $l^\lambda e = 0$, where $\lambda = m_{1l} + m_{5l} + \delta'_l$.*

PROOF OF LEMMA 2. If $m \leq m_{1l}$, then the assertion is trivial. Suppose $m > m_{1l}$. We consider first the case when $m_{1l} = 0$. Then, by definition, $G_{l^m} = \mathrm{GL}_2(\mathbf{Z}/l^m)$ or $(\mathcal{O}/l^m)^*$ and if $l = 2$, then either $\mathrm{End}(E) = \mathbf{Z}$ or $\mathrm{End}(E) = \mathcal{O}$ and 2 divides the discriminant $\Delta(\mathcal{O})$ of the order \mathcal{O} or remains prime in k . We shall show that in all these cases the linear hull of G_{l^m} is $M_2(\mathbf{Z}/l^m)$ or \mathcal{O}/l^m respectively. It suffices to verify this for $m = 1$. Suppose $\mathrm{End}(E) = \mathbf{Z}$. We have

$$\begin{aligned} \mathrm{GL}_2(\mathbf{Z}/l) &= M_2(\mathbf{Z}/l) \setminus \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \mid (ad - bc) = 0 \right\}; \\ \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \mid (ad - bc) = 0 \right\} &= \left\{ \begin{pmatrix} 0 & 0 \\ c & d \end{pmatrix}; \begin{pmatrix} 0 & b \\ 0 & d \end{pmatrix}; \begin{pmatrix} a & b \\ c & (bc)/a \end{pmatrix}, a \neq 0 \right\} \end{aligned}$$

and has order $2^{l^2} - l + (l-1)l^2 = l^3 + l^2 - l$. Hence $\mathrm{GL}_2(\mathbf{Z}/l)$ contains $l^4 - l^3 - l^2 + l$ elements. Since $l^4 - l^3 - l^2 + l > l^3$ for $l > 2$, the linear hull of $\mathrm{GL}_2(\mathbf{Z}/l)$ is $M_2(\mathbf{Z}/l)$ for $l > 2$. If $l = 2$, this is verified directly.

Suppose $\mathrm{End}(E) = \mathcal{O} = \mathbf{Z} + cQ_k$, where $Q_k = [1, \tau]$ is the ring of integers of k . Suppose $l \nmid \Delta(\mathcal{O})$. Then $|(\mathcal{O}/l)^*| = l^2 - 1 > l$ if $\left(\frac{l}{k}\right) = -1$. Hence, the linear hull

of $(\mathcal{O}/l)^*$ is \mathcal{O}/l . If $\binom{l}{k} = 1$, then $|(\mathcal{O}/l)^*| = (l-1)^2 > l$ since $l > 2$ in this case by hypothesis. Hence, the linear hull of $(\mathcal{O}/l)^*$ is \mathcal{O}/l . Suppose $l \mid \Delta(\mathcal{O})$. Then $|(\mathcal{O}/l)^*| = l^2 - 1 > l$ if $l > 2$. If $l = 2$, then $(\mathcal{O}/2)^*$ consists of the classes 1 and $1+c\tau$ or τ , which are linearly independent in $\mathcal{O}/2$. Hence, again the linear hull of $(\mathcal{O}/2)^*$ is equal to $\mathcal{O}/2$. Since, by the definition of $m_{l,l}$, for $m_{l,l} > 0$

$$G_{l^m} \supset 1 + l^{m_{l,l}} M_2(\mathbf{Z}/l^m), \quad G_{l^m} \supset 1 + l^{m_{l,l}} (\mathcal{O}/l^m),$$

when $\text{End}(E) = \mathbf{Z}$ or \mathcal{O} , the linear hull of G_{l^m} obviously always contains $l^{m_{l,l}} M_2(\mathbf{Z}/l^m)$ or $l^{m_{l,l}} (\mathcal{O}/l^m)$, respectively. Therefore Lemma 2 will follow from Lemma 3.

LEMMA 3. Let $e \in E_{l^m}$ be such that the $M_2(\mathbf{Z}/l^m)(\mathcal{O}/l^m)$ -orbit of e belongs to $(E_{l^m})_-$ or $(E_{l^m})_+$. Then $l^\lambda e = 0$, where $\lambda = m_{5l} + \delta'_l$.

PROOF OF LEMMA 3. First we consider the case when $\text{End}(E) = \mathbf{Z}$. Then $M_2(\mathbf{Z}/l^m)e = E_{l^\lambda}$, where $\lambda \in \mathbf{Z}_+$ is the least such that $l^\lambda e = 0$. Hence, either $E_{l^\lambda} = (E_{l^\lambda})_-$ or $E_{l^\lambda} = (E_{l^\lambda})_+$. Since σ is represented in $GL_2(\mathbf{Z}/l^\lambda)$ by a matrix with determinant -1 , then $(-1) \equiv 1 \pmod{l^\lambda}$. Hence $\lambda = 0$ if $l \neq 2$. If $l = 2$, then obviously $\lambda = 0$ if σ acts nontrivially on E_2 (i.e., $E_{2+} = E_{2-} \neq E_2$), and $\lambda \leq 1$ otherwise. Thus, Lemmas 2 and 3 are proved in the case $\text{End}(E) = \mathbf{Z}$. We now consider the case $\text{End}(E) = \mathcal{O} = \mathbf{Z} + cO_k$, $O_k = [1, \tau]$; $E_{l^m} = (\mathcal{O}/l^m)e_m$, where e_m is the generator of E_{l^m} as an \mathcal{O}/l^m -module; $\sigma(e_m) = ae_m$, where $a\alpha^\sigma = 1$ in \mathcal{O}/l^m . Suppose $e = be_m$. By hypothesis $(\sigma \pm 1)e = 0$ and $(\sigma \pm 1)(c\tau e) = 0$. Hence, $b^\sigma \alpha \pm b = 0$ and $c(\tau^\sigma b^\sigma \alpha \pm \tau b) = 0$. From this, $b^\sigma \alpha = \mp b$ and $bc(\tau^\sigma - \tau) = 0$. If b_1 is a representative of b in \mathcal{O} , then we have $b_1 c(\tau^\sigma - \tau) = l^m y$, where $y \in \mathcal{O}$. Hence,

$$b_1 = l^m (1/(c(\tau^\sigma - \tau))) \psi = l^m ((c(\tau^\sigma - \tau))\psi) / ((c^2(\tau^\sigma - \tau))^2) = (l^m/c(\tau^\sigma - \tau))^2 = (l^m/\Delta(\mathcal{O}))/z,$$

$\nu_1 \equiv l^{-1}(c(t-t'))y \equiv l^{-1}(c(t-t')y)/(c(t-t')\Delta(\mathcal{O}))z$, where $z \in \mathcal{O}$. Here $\Delta(\mathcal{O}) = c^2\Delta(O_k)$ is the discriminant of the ring \mathcal{O} . In fact, if $\tau^2 + A\tau + B = 0$, then

$$\begin{aligned} (\tau^\sigma - \tau)^2 &= (\tau^\sigma)^2 - 2\tau^\sigma\tau + \tau^2 = -A\tau^\sigma - B - 2B - A\tau - B \\ &= A(-\tau - \tau^\sigma) - 4B = A^2 - 4B = \Delta(O_k). \end{aligned}$$

Since by definition $\Delta(\mathcal{O}) = l^{m_{5l}}r, (r, l) = 1$, obviously $l^{m_{5l}}e = 0$. This proves Lemmas 2 and 3. ■

Applying Lemma 2, we obtain that $l^{m_{1l}+m_{5l}+\delta'_l}\Lambda'_1 = 0$ and $l^{m_{1l}+m_{5l}+\delta'_l}\Lambda'_2 = 0$. We set $\alpha = \alpha' + m_{1l} + m_{5l} + \delta'_l$ and $\beta = \beta' + m_{1l} + m_{5l} + \delta'_l$. Then $l^\alpha\Lambda_1 = 0, l^\beta\Lambda_2 = 0$, and

$$\alpha + \beta = \alpha' + \beta' + 2m_{1l} + 2m_{5l} + 2\delta'_l \leq n + 2m_{1l} + 2m_{5l} + 2\delta_l + 2\delta'_l.$$

Proposition 12 is proved. ■

We complete the proof of the theorem. From the definition of m_{2l}, δ''_l , and δ'''_l it follows that if l^α annihilates s in $H^1(V, E_D)$, then $l^{\alpha+m_{2l}+\delta''_l+\delta'''_l}$ annihilates s in $S_D = S_D(Q)$. Analogously, if l^β annihilates x_K in $H^1(V, E_D)$, then $l^{\beta+m_{2l}+\delta'''_l}$ annihilates x_K in $S_D(K)$. By the definition of $\varepsilon_l(n)$, $\beta + m_{2l} + \delta'''_l \geq \varepsilon_l(n)$. Since $\alpha + \beta \leq n + 2m_{1l} + 2\delta_l + 2\delta'_l + 2m_{5l}$, we have

$$\begin{aligned} \alpha + m_{2l} + \delta''_l + \delta'''_l &\leq n - (\beta + m_{2l} + \delta'''_l) + 2m_{1l} + 2m_{2l} + 2\delta_l \\ &\quad + 2\delta'_l + \delta''_l + 2\delta'''_l + 2m_{5l} \\ &\leq n - \varepsilon_l(n) + m_l, \end{aligned}$$

which completes the proof of Theorem 1. ■

BIBLIOGRAPHY

1. J. Coates and A. Wiles, *On the conjecture of Birch and Swinnerton-Dyer*, Invent. Math. **39** (1977), 223–251.
2. Benedict H. Gross and Don B. Zagier, *Heegner points and derivatives of L-series*, Invent. Math. **84** (1986), 225–320.
3. B. Mazur and P. Swinnerton-Dyer, *Arithmetic of Weil curves*, Invent. Math. **25** (1974), 1–61.
4. Bernadette Perrin-Riou, *Points de Heegner et dérivées de fonctions L p-adiques*, C. R. Acad. Sci. Paris Sér. I Math. **303** (1986), 165–168.
5. Goro Shimura, *Introduction to the arithmetic theory of automorphic functions*, Princeton Univ. Press, Princeton, N.J., and Univ. of Tokyo Press, Tokyo, 1971.
6. John T. Tate, *The arithmetic of elliptic curves*, Invent. Math. **23** (1974), 179–206.
7. J. W. S. Cassels and A. Fröhlich (editors), *Algebraic number theory* (Proc. Instructional Conf., Brighton, 1965), Academic Press, London, and Thompson, Washington, D.C., 1967.
8. M. I. Bashmakov, *Cohomology of abelian varieties over a number field*, Uspekhi Mat. Nauk **27** (1972), no. 6 (168), 25–66; English transl. in Russian Math. Surveys **27** (1972).
9. H. Koch, *Galoissche Theorie der p-Erweiterungen*, Springer-Verlag, 1970.
10. Yu. I. Manin, *Cyclotomic fields and modular curves*, Uspekhi Mat. Nauk **26** (1971), no. 6 (162), 7–71; English transl. in Russian Math. Surveys **26** (1971).
11. B. Mazur, *On the arithmetic of special values of L-functions*, Invent. Math. **55** (1979), 207–240.

Translated by J. S. JOEL