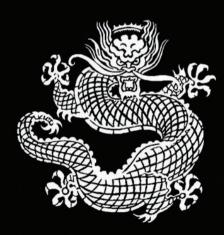
# THE ART OF WAR

(RESTORED TRANSLATION)



Sun Tzu

The Art of War by Sun Tzŭ, translated by Lionel Giles, M.A. First published in 1910.

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## Sun Tzŭ's The Art of War

translated by Lionel Giles, M.A.

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#### To my brother Captain Valentine Giles, R.G.

in the hope that a work 2400 years old

may yet contain lessons worth consideration

this translation is affectionately dedicated

by the soldier of to-day

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#### Introduction

Sun Tzŭ Wu was a native of the Ch'i State. His *Art of War* brought him to the notice of Ho Lu, King of Wu. Ho Lu said to him: "I have carefully perused your 13 chapters. May I submit your theory of managing soldiers to a slight test?"

Sun Tzŭ replied: "You may."

Ho Lu asked: "May the test be applied to women?"

The answer was again in the affirmative, so arrangements were made to bring 180 ladies out of the Palace. Sun Tzŭ divided them into two companies, and placed one of the King's favourite concubines at the head of each. He then bade them all take spears in their hands, and addressed them thus: "I presume you know the difference between front and back, right hand and left hand?"

The girls replied: "Yes."

Sun Tz $\Breve{u}$  went on: "When I say 'Eyes front,' you

must look straight ahead. When I say 'Left turn,' you must face towards your left hand. When I say 'Right turn,' you must face towards your right hand. When I say 'About turn,' you must face right round towards your back."

Again the girls assented. The words of command having been thus explained, he set up the halberds and battle-axes in order to begin the drill. Then, to the sound of drums, he gave the order "Right turn." But the girls only burst out laughing. Sun Tzŭ said: "If words of command are not clear and distinct, if orders are not thoroughly understood, then the general is to blame."

So he started drilling them again, and this time gave the order "Left turn," whereupon the girls once more burst into fits of laughter. Sun Tzŭ: "If words of command are not clear and distinct, if orders are not thoroughly understood, the general

is to blame. But if his orders *are* clear, and the soldiers nevertheless disobey, then it is the fault of their officers."

So saying, he ordered the leaders of the two companies to be beheaded. Now the King of Wu was watching the scene from the top of a raised pavilion; and when he saw that his favourite concubines were about to be executed, he was greatly alarmed and hurriedly sent down the following message: "We are now quite satisfied as to our general's ability to handle troops. If We are bereft of these two concubines, our meat and drink will lose their savour. It is our wish that they shall not be beheaded."

Sun Tzŭ replied: "Having once received His Majesty's commission to be the general of his forces, there are certain commands of His Majesty which, acting in that capacity, I am unable to accept."

Accordingly, he had the two leaders beheaded, and straightway installed the pair next in order as leaders in their place. When this had been done, the drum was sounded for the drill once more; and the girls went through all the evolutions, turn-

ing to the right or to the left, marching ahead or wheeling back, kneeling or standing, with perfect accuracy and precision, not venturing to utter a sound. Then Sun Tzŭ sent a messenger to the King saying: "Your soldiers, Sire, are now properly drilled and disciplined, and ready for your majesty's inspection. They can be put to any use that their sovereign may desire; bid them go through fire and water, and they will not disobey."

But the King replied: "Let our general cease drilling and return to camp. As for us, We have no wish to come down and inspect the troops."

Thereupon Sun Tzŭ said: "The King is only fond of words, and cannot translate them into deeds."

After that, Ho Lu saw that Sun Tzŭ was one who knew how to handle an army, and finally appointed him general. In the west, he defeated the Ch'u State and forced his way into Ying, the capital; to the north he put fear into the States of Ch'i and Chin, and spread his fame abroad amongst the feudal princes. And Sun Tzŭ shared in the might of the King.

— SSŬ-MA CH'IEN (c. 145 BC - 86 BC)

### I

#### Laying Plans

- 1. Sun Tzŭ said: The art of war is of vital importance to the State.
- 2. It is a matter of life and death, a road either to safety or to ruin. Hence it is a subject of inquiry which can on no account be neglected.
- 3. The art of war, then, is governed by five constant factors, to be taken into account in one's deliberations, when seeking to determine the conditions obtaining in the field.
- 4. These are: (1) the Moral Law; (2) Heaven; (3) Earth; (4) the Commander; (5) method and discipline.

- 5, 6. *The Moral Law* causes the people to be in complete accord with their ruler, so that they will follow him regardless of their lives, undismayed by any danger.
  - 7. *Heaven* signifies night and day, cold and heat, times and seasons.
  - 8. *Earth* comprises distances, great and small; danger and security; open ground and narrow passes; the chances of life and death.
  - 9. *The Commander* stands for the virtues of wisdom, sincerity, benevolence, courage and strictness.

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yourself also of any helpful circumstances

supplies may reach the army, and the control of military expenditure.

(7) In which army is there the greater constancy both in reward and punishment?

11. These five heads should be familiar to every

I. Laying Plans

general: he who knows them will be victorious; he who knows them not will fail.

15. The general that hearkens to my counsel and acts upon it, will conquer: — let such a one be retained in command! The general that hear-

Therefore, in your deliberations, when seeking to determine the military conditions, let them be made the basis of a comparison, in this wise: —
 Therefore, in your deliberations, when seeking to determine the military conditions, let kens not to my counsel nor acts upon it, will suffer defeat: — let such a one be dismissed!
 While heeding the profit of my counsel, avail

with the Moral Law?

(2) Which of the two generals has most ability?

over and beyond the ordinary rules.

17. According as circumstances are favourable, one should modify one's plans.

13. (1) Which of the two sovereigns is imbued

(3) With whom lie the advantages derived from Heaven and Earth?

18. All warfare is based on deception.

(4) On which side is discipline most rigorously enforced?

19. Hence, when able to attack, we must seem unable; when using our forces, we must seem

	the enemy believe we are far away; when far		
	away, we must make him believe we are near		
20.	Hold out baits to entice the enemy.	Feign	

21. If he is secure at all points, be prepared for him. If he is in superior strength, evade him.

22. If your opponent is of choleric temper, seek

disorder, and crush him.

to irritate him. Pretend to be weak, that he may grow arrogant.

23. If he is taking his ease, give him no rest. If his forces are united, separate them.

24. Attack him where he is unprepared, appear where you are not expected.

25. These military devices, leading to victory, must not be divulged beforehand.

26. Now the general who wins a battle makes many calculations in his temple ere the battle is fought. The general who loses a battle calculations to defeat: how much more no calculation at all! It is by attention to this point that I can foresee who is likely to win or lose.

do many calculations lead to victory, and few

### $\prod$

#### Waging War

- 1. Sun Tzŭ said: In the operations of war, where there are in the field a thousand swift chariots, as many heavy chariots, and a hundred thousand mail-clad soldiers, with provisions enough to carry them a thousand Li, the expenditure at home and at the front, including entertainment of guests, small items such as glue and paint, and sums spent on chariots and armour, will reach the total of a thousand ounces of silver per day. Such is the cost of raising an army of 100,000 men.
- 2. When you engage in actual fighting, if victory is long in coming, then men's weapons will grow dull and their ardour will be damped. If

- you lay siege to a town, you will exhaust your strength.
- 3. Again, if the campaign is protracted, the resources of the State will not be equal to the strain.
- 4. Now, when your weapons are dulled, your ardour damped, your strength exhausted and your treasure spent, other chieftains will spring up to take advantage of your extremity. Then no man, however wise, will be able to avert the consequences that must ensue.
- 5. Thus, though we have heard of stupid haste

- in war, cleverness has never been seen associated with long delays.
- 6. There is no instance of a country having benefited from prolonged warfare.
- 7. It is only one who is thoroughly acquainted with the evils of war that can thoroughly understand the profitable way of carrying it on.
- 8. The skillful soldier does not raise a second levy, neither are his supply-waggons loaded more than twice.

9. Bring war material with you from home, but

- forage on the enemy. Thus the army will have food enough for its needs. 10. Poverty of the State exchequer causes an
- army to be maintained by contributions from a distance. Contributing to maintain an army at a distance causes the people to be impoverished.
- 11. On the other hand, the proximity of an army causes prices to go up; and high prices cause the people's substance to be drained away.

- 12. When their substance is drained away, the peasantry will be afflicted by heavy exactions.
- 13, 14. With this loss of substance and exhaustion of strength, the homes of the people will be stripped bare, and three-tenths of their income will be dissipated; while Government expenses for broken chariots, worn-out horses, breast-plates and helmets, bows and arrows, spears and shields, protective mantles, draught-oxen and heavy waggons, will amount to four-tenths of its total revenue.
  - 15. Hence a wise general makes a point of foraging on the enemy. One cartload of the enemy's provisions is equivalent to twenty of one's own, and likewise a single picul of his provender is equivalent to twenty from one's own store.
  - 16. Now in order to kill the enemy, our men must be roused to anger; that there may be advantage from defeating the enemy, they must have their rewards.

- 17. Therefore in chariot fighting, when ten or more chariots have been taken, those should be rewarded who took the first. Our own flags should be substituted for those of the enemy, and the chariots mingled and used in conjunction with ours. The captured soldiers should be kindly treated and kept.
- 18. This is called, using the conquered foe to augment one's own strength.
- 19. In war, then, let your great object be victory, not lengthy campaigns.
- 20. Thus it may be known that the leader of armies is the arbiter of the people's fate, the man on whom it depends whether the nation shall be in peace or in peril.

#### Attack by Stratagem

- 1. Sun Tzŭ said: In the practical art of war, the best thing of all is to take the enemy's country whole and intact; to shatter and destroy it is not so good. So, too, it is better to capture an army entire than to destroy it, to capture a regiment, a detachment or a company entire than to destroy them.
- 2. Hence to fight and conquer in all your battles is not supreme excellence; supreme excellence consists in breaking the enemy's resistance without fighting.
- 3. Thus the highest form of generalship is to baulk the enemy's plans; the next best is to

- prevent the junction of the enemy's forces; the next in order is to attack the enemy's army in the field; and the worst policy of all is to besiege walled cities.
- 4. The rule is, not to besiege walled cities if it can possibly be avoided. The preparation of mantlets, movable shelters, and various implements of war, will take up three whole months; and the piling up of mounds over against the walls will take three months more.
- 5. The general, unable to control his irritation, will launch his men to the assault like swarming ants, with the result that one-third of his

siege.

men are slain, while the town still remains

untaken. Such are the disastrous effects of a

7. With his forces intact he will dispute the mas-

one, to attack him; if twice as numerous, to

9. If equally matched, we can offer battle; if slightly inferior in numbers, we can avoid the

enemy; if quite unequal in every way, we can

divide our army into two.

flee from him.

10. Hence, though an obstinate fight may be

be captured by the larger force.

made by a small force, in the end it must

- tery of the Empire, and thus, without losing a man, his triumph will be complete. This is the method of attacking by stratagem.

  13. (1) By commanding the army to advance or to retreat, being ignorant of the fact that it cannot obey. This is called hobbling the army.

  14. (2) By attempting to govern an army in the same way as he administers a kingdom, being
  - same way as he administers a kingdom, being ignorant of the conditions which obtain in an army. This causes restlessness in the soldier's minds.

    15. (3) By employing the officers of his army with-

bring misfortune upon his army: —

15. (3) By employing the officers of his army without discrimination, through ignorance of the military principle of adaptation to circum-

- 16. But when the army is restless and distrustful, trouble is sure to come from the other feudal princes. This is simply bringing anarchy into the army, and flinging victory away.
- 17. Thus we may know that there are five essentials for victory:
  - (1) He will win who knows when to fight and when not to fight.
  - (2) He will win who knows how to handle both superior and inferior forces.
  - (3) He will win whose army is animated by the same spirit throughout all its ranks.
  - (4) He will win who, prepared himself, waits to take the enemy unprepared.
  - (5) He will win who has military capacity and is not interfered with by the sovereign.

Victory lies in the knowledge of these five points.

18. Hence the saying: If you know the enemy and know yourself, you need not fear the result of a hundred battles. If you know yourself but not the enemy, for every victory gained you will also suffer a defeat. If you know neither the enemy nor yourself, you will succumb in every battle.



#### **Tactical Dispositions**

- 1. Sun Tzŭ said: The good fighters of old first put themselves beyond the possibility of defeat, and then waited for an opportunity of defeating the enemy.
- 2. To secure ourselves against defeat lies in our own hands, but the opportunity of defeating the enemy is provided by the enemy himself.
- 3. Thus the good fighter is able to secure himself against defeat, but cannot make certain of defeating the enemy.
- 4. Hence the saying: One may *know* how to conquer without being able to *do it*.

- 5. Security against defeat implies defensive tactics; ability to defeat the enemy means taking the offensive.
- 6. Standing on the defensive indicates insufficient strength; attacking, a superabundance of strength.
- 7. The general who is skilled in defence hides in the most secret recesses of the earth; he who is skilled in attack flashes forth from the topmost heights of heaven. Thus on the one hand we have ability to protect ourselves; on the other, a victory that is complete.

10. To lift an autumn hair is no sign of great strength; to see the sun and moon is no sign of sharp sight; to hear the noise of thunder is no sign of a quick ear. 11. What the ancients called a clever fighter is

one who not only wins, but excels in winning

fight and conquer and the whole Empire says,

IV. Tactical Dispositions

"Well done!"

with ease.

lence.

12. Hence his victories bring him neither reputation for wisdom nor credit for courage. 13. He wins his battles by making no mistakes. Making no mistakes is what establishes the certainty of victory, for it means conquering

14. Hence the skillful fighter puts himself into a

position which makes defeat impossible, and

an enemy that is already defeated.

won, whereas he who is destined to defeat first fights and afterwards looks for victory. 16. The consummate leader cultivates the Moral Law, and strictly adheres to method and discipline; thus it is in his power to control success.

only seeks battle after the victory has been

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tity; thirdly, Calculation; fourthly, Balancing of chances; fifthly, Victory. 18. Measurement owes its existence to Earth: Estimation of quantity to Measurement; Calculation to Estimation of quantity; Balancing of chances to Calculation; and Victory to Bal-

ancing of chances.

17. In respect of military method, we have, firstly, Measurement; secondly, Estimation of quan-

- 19. A victorious army opposed to a routed one, is as a pound's weight placed in the scale against a single grain.
- 20. The onrush of a conquering force is like the bursting of pent-up waters into a chasm a thousand fathoms deep. So much for tactical dispositions.

### V

#### Energy

- 1. Sun Tzŭ said: The control of a large force is the same in principle as the control of a few men: it is merely a question of dividing up their numbers.
- 2. Fighting with a large army under your command is nowise different from fighting with a small one: it is merely a question of instituting signs and signals.
- 3. To ensure that your whole host may withstand the brunt of the enemy's attack and remain unshaken this is effected by manœuvres direct and indirect.

- 4. That the impact of your army may be like a grindstone dashed against an egg this is effected by the science of weak points and strong.
- 5. In all fighting, the direct method may be used for joining battle, but indirect methods will be needed in order to secure victory.
- 6. Indirect tactics, efficiently applied, are inexhaustible as Heaven and Earth, unending as the flow of rivers and streams; like the sun and moon, they end but to begin anew; like the four seasons, they pass away to return once more.

9. There are not more than five cardinal tastes, yet combinations of them yield more flavours than can ever be tasted.

8. There are not more than five primary colours,

than can ever been seen.

yet in combination they produce more hues

V. Energy

- 10. In battle, there are not more than two methods of attack the direct and the indirect; yet these two in combination give rise to an endless series of manœuvres.
- 11. The direct and the indirect lead on to each other in turn. It is like moving in a circle you never come to an end. Who can exhaust the possibilities of their combination?

12. The onset of troops is like the rush of a torrent

which will even roll stones along in its course.

crossbow; decision, to the releasing of a trigger.

16. Amid the turmoil and tumult of battle, there may be seeming disorder and yet no real disorder at all; amid confusion and chaos, your

array may be without head or tail, yet it will

14. Therefore the good fighter will be terrible in

15. Energy may be likened to the bending of a

his onset, and prompt in his decision.

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17. Simulated disorder postulates perfect discipline; simulated fear postulates courage; simulated weakness postulates strength.18. Hiding order beneath the cloak of disorder is simply a question of subdivision; concealing

be proof against defeat.

8. Hiding order beneath the cloak of disorder is simply a question of subdivision; concealing courage under a show of timidity presupposes a fund of latent energy; masking strength

	sacrifices something, that the enemy may snatch at it.
20.	By holding out baits, he keeps him on the march; then with a body of picked men he lies in wait for him.
21.	The clever combatant looks to the effect of combined energy, and does not require too much from individuals. Hence his ability to pick out the right men and to utilise combined energy.
22.	When he utilises combined energy, his fighting men become as it were like unto rolling

logs or stones. For it is the nature of a log or stone to remain motionless on level ground, and to move when on a slope; if four-cornered, to come to a standstill, but if round-shaped,

with weakness is to be effected by tactical

on the move maintains deceitful appearances, according to which the enemy will act. He

19. Thus one who is skillful at keeping the enemy

dispositions.

to go rolling down.

23. Thus the energy developed by good fighting men is as the momentum of a round stone rolled down a mountain thousands of feet in height. So much on the subject of energy.

### VI

#### Weak Points and Strong

- Sun Tzŭ said: Whoever is first in the field and awaits the coming of the enemy, will be fresh for the fight; whoever is second in the field and has to hasten to battle will arrive exhausted.
- 2. Therefore the clever combatant imposes his will on the enemy, but does not allow the enemy's will to be imposed on him.
- 3. By holding out advantages to him, he can cause the enemy to approach of his own accord; or, by inflicting damage, he can make it impossible for the enemy to draw near.

- 4. If the enemy is taking his ease, he can harass him; if well supplied with food, he can starve him out; if quietly encamped, he can force him to move.
- 5. Appear at points which the enemy must hasten to defend; march swiftly to places where you are not expected.
- 6. An army may march great distances without distress, if it marches through country where the enemy is not.
- 7. You can be sure of succeeding in your attacks if you only attack places which are undefended. You can ensure the safety of your

be attacked.

defence if you only hold positions that cannot

8. Hence that general is skillful in attack whose

opponent does not know what to defend; and he is skillful in defence whose opponent does

points; you may retire and be safe from pursuit if your movements are more rapid than those of the enemy.11. If we wish to fight, the enemy can be forced to an engagement even though he be sheltered behind a high rampart and a deep ditch. All we need do is to attack some other place that he will be obliged to relieve.

sistible, if you make for the enemy's weak

12. If we do not wish to fight, we can prevent the enemy from engaging us even though the lines of our encampment be merely traced out on the ground. All we need do is to throw something odd and unaccountable in his way.

13. By discovering the enemy's dispositions and

remaining invisible ourselves, we can keep our forces concentrated, while the enemy's must be divided.

14. We can form a single united body, while the

- enemy must split up into fractions. Hence there will be a whole pitted against separate parts of a whole, which means that we shall be many to the enemy's few.
- 15. And if we are able thus to attack an inferior force with a superior one, our opponents will be in dire straits.
- 16. The spot where we intend to fight must not be made known; for then the enemy will have to prepare against a possible attack at several different points; and his forces being thus

be proportionately few.

distributed in many directions, the numbers

- we shall have to face at any given point will 17. For should the enemy strengthen his van, he will weaken his rear; should he strengthen
  - his rear, he will weaken his van; should he strengthen his left, he will weaken his right; should he strengthen his right, he will weaken his left. If he sends reinforcements every-
- 18. Numerical weakness comes from having to prepare against possible attacks; numerical strength, from compelling our adversary to make these preparations against us.

where, he will everywhere be weak.

- 19. Knowing the place and the time of the coming battle, we may concentrate from the greatest distances in order to fight. 20. But if neither time nor place be known, then the left wing will be impotent to succour the
  - right, the right equally impotent to succour the left, the van unable to relieve the rear,

21. Though according to my estimate the soldiers of Yüeh exceed our own in number, that shall advantage them nothing in the matter of victory. I say then that victory can be achieved.

or the rear to support the van. How much

more so if the furthest portions of the army

- 22. Though the enemy be stronger in numbers, we may prevent him from fighting. Scheme so as to discover his plans and the likelihood of their success.
- 23. Rouse him, and learn the principle of his activity or inactivity. Force him to reveal himself, so as to find out his vulnerable spots.
- 24. Carefully compare the opposing army with your own, so that you may know where strength is superabundant and where it is deficient.
- 25. In making tactical dispositions, the highest pitch you can attain is to conceal them; con-

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ceal your dispositions, and you will be safe 32. Therefore, just as water retains no constant from the prying of the subtlest spies, from the machinations of the wisest brains. conditions. 26. How victory may be produced for them out of the enemy's own tactics — that is what the multitude cannot comprehend. long; the moon has its periods of waning and waxing.

shape, so in warfare there are no constant 33. He who can modify his tactics in relation to his opponent and thereby succeed in winning, may be called a heaven-born captain. 34. The five elements are not always equally predominant; the four seasons make way for each other in turn. There are short days and

### VII

#### Manœuvring

- 1. Sun Tzŭ said: In war, the general receives his commands from the sovereign.
- 2. Having collected an army and concentrated his forces, he must blend and harmonise the different elements thereof before pitching his camp.
- 3. After that, comes tactical manœuvring, than which there is nothing more difficult. The difficulty of tactical manœuvring consists in turning the devious into the direct, and misfortune into gain.
- 4. Thus, to take a long and circuitous route, after enticing the enemy out of the way, and

- though starting after him, to contrive to reach the goal before him, shows knowledge of the artifice of *deviation*.
- 5. Manœuvring with an army is advantageous; with an undisciplined multitude, most dangerous.
- 6. If you set a fully equipped army in march in order to snatch an advantage, the chances are that you will be too late. On the other hand, to detach a flying column for the purpose involves the sacrifice of its baggage and stores.

hands of the enemy. 8. The stronger men will be in front, the jaded ones will fall behind, and on this plan only one-tenth of your army will reach its destina-

buff-coats, and make forced marches with-

out halting day or night, covering double the usual distance at a stretch, doing a hundred

Li in order to wrest an advantage, the leaders

of all your three divisions will fall into the

9. If you march fifty L<sub>I</sub> in order to outmanœuvre the enemy, you will lose the leader of your

tion.

- first division, and only half your force will reach the goal.
- 10. If you march thirty Li with the same object,
- two-thirds of your army will arrive. 11. We may take it then that an army without its baggage-train is lost; without provisions it is

lost; without bases of supply it is lost.

13. We are not fit to lead an army on the march unless we are familiar with the face of the country — its mountains and forests, its pit-

quainted with the designs of our neighbours.

- falls and precipices, its marshes and swamps. 14. We shall be unable to turn natural advan
  - tage to account unless we make use of local guides. 15. In war, practise dissimulation, and you will
    - succeed. Move only if there is a real advantage to be gained.
  - 16. Whether to concentrate or to divide your troops, must be decided by circumstances.
  - 17. Let your rapidity be that of the wind, your

movability like a mountain.

compactness that of the forest. 18. In raiding and plundering be like fire, in im20. When you plunder a countryside, let the spoil be divided amongst your men; when you capture new territory, cut it up into allotments for the benefit of the soldiery.

VII. Manœuvring

derbolt.

- 21. Ponder and deliberate before you make a move.
- 22. He will conquer who has learnt the artifice of deviation. Such is the art of manœuvring. 23. The Book of Army Management says: On the field of battle, the spoken word does not
- carry far enough: hence the institution of gongs and drums. Nor can ordinary objects be seen clearly enough: hence the institution of banners and flags.
- 24. Gongs and drums, banners and flags, are means whereby the ears and eyes of the host may be focused on one particular point.

alone, or for the cowardly to retreat alone. This is the art of handling large masses of men.

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- 26. In night-fighting, then, make much use of signal-fires and drums, and in fighting by day, of flags and banners, as a means of influencing the ears and eyes of your army.
- 27. A whole army may be robbed of its spirit; a commander-in-chief may be robbed of his presence of mind.
- 28. Now a soldier's spirit is keenest in the morning; by noonday it has begun to flag; and in the evening, his mind is bent only on returning to camp.
- 29. A clever general, therefore, avoids an army when its spirit is keen, but attacks it when it is sluggish and inclined to return. This is the art of studying moods.

this is the art of retaining self-possession. 31. To be near the goal while the enemy is still far from it, to wait at ease while the enemy is toiling and struggling, to be well-fed while the enemy is famished: - this is the art of

husbanding one's strength.

he comes downhill.

37. Such is the art of warfare.

banners are in perfect order, to refrain from attacking an army drawn up in calm and confident array: — this is the art of studying circumstances. 33. It is a military axiom not to advance uphill

32. To refrain from intercepting an enemy whose

against the enemy, nor to oppose him when

34. Do not pursue an enemy who simulates flight; do not attack soldiers whose temper is keen.

35. Do not swallow bait offered by the enemy. Do not interfere with an army that is returning home.

### VIII

#### Variation in Tactics

- 1. Sun Tzŭ said: In war, the general receives his commands from the sovereign, collects his army and concentrates his forces
- 2. When in difficult country, do not encamp. In country where high roads intersect, join hands with your allies. Do not linger in dangerously isolated positions. In hemmed-in situations, you must resort to stratagem. In a desperate position, you must fight.
- 3. There are roads which must not be followed, armies which must not be attacked, towns which must not be besieged, positions which

- must not be contested, commands of the sovereign which must not be obeyed.
- 4. The general who thoroughly understands the advantages that accompany variation of tactics knows how to handle his troops.
- 5. The general who does not understand these, may be well acquainted with the configuration of the country, yet he will not be able to turn his knowledge to practical account.
- 6. So, the student of war who is unversed in the art of varying his plans, even though he be acquainted with the Five Advantages, will fail to make the best use of his men.

tions of advantage and of disadvantage will be blended together.

7. Hence in the wise leader's plans, considera-

8. If our expectation of advantage be tempered in this way, we may succeed in accomplishing the essential part of our schemes.

9. If, on the other hand, in the midst of difficul-

- ties we are always ready to seize an advantage, we may extricate ourselves from misfortune. 10. Reduce the hostile chiefs by inflicting damage on them; make trouble for them, and keep
- them constantly engaged; hold out specious allurements, and make them rush to any given point. 11. The art of war teaches us to rely not on the likelihood of the enemy's not coming, but on our own readiness to receive him: not on

unassailable.

the chance of his not attacking, but rather on the fact that we have made our position

affect a general: (1) Recklessness, which leads to destruction:

12. There are five dangerous faults which may

- (2) cowardice, which leads to capture: (3) a hasty temper, which can be provoked
- by insults; (4) a delicacy of honour which is sensitive to
- shame: (5) over-solicitude for his men, which exposes
- 13. These are the five besetting sins of a general, ruinous to the conduct of war.

him to worry and trouble.

14. When an army is overthrown and its leader slain, the cause will surely be found among these five dangerous faults. Let them be a subject of meditation.



### The Army on the March

- 1. Sun Tzŭ said: We come now to the question of encamping the army, and observing signs of the enemy. Pass quickly over mountains, and keep in the neighbourhood of valleys.
- 2. Camp in high places, facing the sun. Do not climb heights in order to fight. So much for mountain warfare.
- 3. After crossing a river, you should get far away from it.
- 4. When an invading force crosses a river in its onward march, do not advance to meet it in mid-stream. It will be best to let half the army get across, and then deliver your attack.

- 5. If you are anxious to fight, you should not go to meet the invader near a river which he has to cross.
- 6. Moor your craft higher up than the enemy, and facing the sun. Do not move up-stream to meet the enemy. So much for river warfare.
- 7. In crossing salt-marshes, your sole concern should be to get over them quickly, without any delay.
- 8. If forced to fight in a salt-marsh, you should have water and grass near you, and get your back to a clump of trees. So much for operations in salt-marshes.

campaigning in flat country.

9. In dry, level country, take up an easily acces-

sible position with rising ground to your right

and on your rear, so that the danger may be

in front, and safety lie behind. So much for

knowledge which enabled the Yellow Emperor

10. These are the four useful branches of military

to vanquish four several sovereigns.

the sunny side, with the slope on your right

rear. Thus you will at once act for the ben-

efit of your soldiers and utilise the natural

country, a river which you wish to ford is

14. When, in consequence of heavy rains up-

advantages of the ground.

until it subsides.

15. Country in which there are precipitous cliffs with torrents running between, deep natural hollows, confined places, tangled thickets, quagmires and crevasses, should be left with all possible speed and not approached.

16. While we keep away from such places, we should get the enemy to approach them; while we face them, we should let the enemy have them on his rear.

17. If in the neighbourhood of your camp there

should be any hilly country, ponds sur-

rounded by aquatic grass, hollow basins filled with reeds, or woods with thick under-

swollen and flecked with foam, you must wait

growth, they must be carefully routed out and searched; for these are places where men in ambush or insidious spies are likely to be lurking.18. When the enemy is close at hand and remains quiet, he is relying on the natural strength of his position.

19. When he keeps aloof and tries to provoke	24. Humble words and increased preparations
a battle, he is anxious for the other side to	are signs that the enemy is about to advance.
advance.	Violent language and driving forward as if to
	the attack are signs that he will retreat.
20. If his place of encampment is easy of access,	

20 he is tendering a bait. 25. When the light chariots come out first and take up a position on the wings, it is a sign 21. Movement amongst the trees of a forest shows that the enemy is forming for battle. that the enemy is advancing. The appearance

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that the army is encamping.

of a number of screens in the midst of thick 26. Peace proposals unaccompanied by a sworn grass means that the enemy wants to make covenant indicate a plot. us suspicious.

27. When there is much running about and the 22. The rising of birds in their flight is the sign of soldiers fall into rank, it means that the critian ambuscade. Startled beasts indicate that cal moment has come.

a sudden attack is coming. 28. When some are seen advancing and some 23. When there is dust rising in a high column, retreating, it is a lure.

it is the sign of chariots advancing; when the dust is low, but spread over a wide area, it 29. When the soldiers stand leaning on their betokens the approach of infantry. When it spears, they are faint from want of food. branches out in different directions, it shows

that parties have been sent to collect firewood. 30. If those who are sent to draw water begin by A few clouds of dust moving to and fro signify drinking themselves, the army is suffering from thirst.

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31. If the enemy sees an advantage to be gained and makes no effort to secure it, the soldiers are exhausted.	36. Too frequent rewards signify that the enemy is at the end of his resources; too many punishments betray a condition of dire distress.
32. If birds gather on any spot, it is unoccupied. Clamour by night betokens nervousness.	37. To begin by bluster, but afterwards to take fright at the enemy's numbers, shows a supreme lack of intelligence.
33. If there is disturbance in the camp, the general's authority is weak. If the banners and flags are shifted about, sedition is afoot. If the officers are angry, it means that the men	38. When envoys are sent with compliments in their mouths, it is a sign that the enemy wishes for a truce.

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- the officers are angry, it means that the men are weary.

  39. If the enemy's troops march up angrily and remain facing ours for a long time without either joining battle or taking themselves off again, the situation is one that demands great vigilance and circumspection.

  40. If our troops are no more in number than the anemy, that is amply sufficient; it only means
- camp-fires, showing that they will not return to their tents, you may know that they are determined to fight to the death.

  35. The sight of men whispering together in small knots or speaking in subdued tones points to disaffection amongst the rank and file.

  40. If our troops are no more in number than the enemy, that is amply sufficient; it only means that no direct attack can be made. What we can do is simply to concentrate all our available strength, keep a close watch on the enemy, and obtain reinforcements.

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- 41. He who exercises no forethought but makes light of his opponents is sure to be captured by them.
- 42. If soldiers are punished before they have grown attached to you, they will not prove submissive; and, unless submissive, they will be practically useless. If, when the soldiers have become attached to you, punishments are not enforced, they will still be useless.
- 43. Therefore soldiers must be treated in the first instance with humanity, but kept under control by means of iron discipline. This is a certain road to victory.
- 44. If in training soldiers commands are habitually enforced, the army will be well-disciplined; if not, its discipline will be bad.
- 45. If a general shows confidence in his men but always insists on his orders being obeyed, the gain will be mutual.



## **Terrain**

- Sun Tzŭ said: We may distinguish six kinds of terrain, to wit: (1) Accessible ground;
   (2) entangling ground; (3) temporising ground;
   (4) narrow passes; (5) precipitous heights;
   (6) positions at a great distance from the en-
- 2. Ground which can be freely traversed by both sides is called *accessible*.

emy.

3. With regard to ground of this nature, be before the enemy in occupying the raised and sunny spots, and carefully guard your line of supplies. Then you will be able to fight with advantage.

- 4. Ground which can be abandoned but is hard to re-occupy is called *entangling*.
- 5. From a position of this sort, if the enemy is unprepared, you may sally forth and defeat him. But if the enemy is prepared for your coming, and you fail to defeat him, then, return being impossible, disaster will ensue.
- 6. When the position is such that neither side will gain by making the first move, it is called *temporising* ground.
- 7. In a position of this sort, even though the enemy should offer us an attractive bait, it will be advisable not to stir forth, but rather to

13. These six are the principles connected with

sible post must be careful to study them.

14. Now an army is exposed to six several calami-

Earth. The general who has attained a respon-

occupy the raised and sunny spots, and there

wait for him to come up.

retreat, thus enticing the enemy in his turn;

then, when part of his army has come out,

we may deliver our attack with advantage.

8. With regard to *narrow passes*, if you can occupy them first, let them be strongly gar-

do not follow him, but retreat and try to entice him away.12. If you are situated at a great distance from the enemy, and the strength of the two armies is equal, it is not easy to provoke a battle, and fighting will be to your disadvantage.

11. If the enemy has occupied them before you,

- ties, not arising from natural causes, but from faults for which the general is responsible. These are: (1) Flight; (2) insubordination; (3) collapse; (4) ruin; (5) disorganization; (6) rout.

  15. Other conditions being equal, if one force is hurled against another ten times its size, the result will be the *flight* of the former.
- 16. When the common soldiers are too strong and their officers too weak, the result is *insubordination*. When the officers are too strong and the common soldiers too weak, the result is *collapse*.
- collapse.

  17. When the higher officers are angry and insubordinate, and on meeting the enemy give battle on their own account from a feeling of resentment, before the commander-in-chief

when there are no fixed duties assigned to officers and men, and the ranks are formed in a slovenly haphazard manner, the result is utter *disorganization*.

19. When a general, unable to estimate the enemy's strength, allows an inferior force to

18. When the general is weak and without author-

ity; when his orders are not clear and distinct;

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- engage a larger one, or hurls a weak detachment against a powerful one, and neglects to place picked soldiers in the front rank, the result must be a *rout*.
- 20. These are six ways of courting defeat, which must be carefully noted by the general who has attained a responsible post.
- has attained a responsible post.

  21. The natural formation of the country is the soldier's best ally; but a power of estimating the adversary, of controlling the forces of victory, and of shrewdly calculating difficulties,

puts his knowledge into practice, will win his battles. He who knows them not, nor practises them, will surely be defeated.

22. He who knows these things, and in fighting

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- 23. If fighting is sure to result in victory, then you must fight, even though the ruler forbid it; if fighting will not result in victory, then you must not fight even at the ruler's bidding.
- 24. The general who advances without coveting fame and retreats without fearing disgrace, whose only thought is to protect his country and do good service for his sovereign, is the jewel of the kingdom.
- 25. Regard your soldiers as your children, and they will follow you into the deepest valleys; look upon them as your own beloved sons,
- and they will stand by you even unto death.26. If, however, you are indulgent, but unable to make your authority felt; kind-hearted, but

pose.

he is never at a loss.

unable to enforce your commands; and inca-

pable, moreover, of quelling disorder: then

your soldiers must be likened to spoilt chil-

dren; they are useless for any practical pur-

27. If we know that our own men are in a con-

31. Hence the saying: If you know the enemy and know yourself, your victory will not stand in doubt; if you know Heaven and know Earth, you may make your victory complete.



## The Nine Situations

- Sun Tzŭ said: The art of war recognises nine varieties of ground: (1) Dispersive ground;
   (2) facile ground; (3) contentious ground;
   (4) open ground; (5) ground of intersecting highways; (6) serious ground; (7) difficult ground; (8) hemmed-in ground; (9) desperate ground.
- 2. When a chieftain is fighting in his own territory, it is *dispersive ground*.
- 3. When he has penetrated into hostile territory, but to no great distance, it is *facile ground*.
- 4. Ground the possession of which imports

- great advantage to either side, is contentious ground.
- 5. Ground on which each side has liberty of movement is *open ground*.
- 6. Ground which forms the key to three contiguous states, so that he who occupies it first has most of the Empire at his command, is a ground of intersecting highways.
- 7. When an army has penetrated into the heart of a hostile country, leaving a number of fortified cities in its rear, it is *serious ground*.

gorges, and from which we can only retire by tortuous paths, so that a small number of the enemy would suffice to crush a large body of our men: this is hemmed in ground. 10. Ground on which we can only be saved from

9. Ground which is reached through narrow

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perate ground. 11. On dispersive ground, therefore, fight not. On facile ground, halt not. On contentious ground, attack not.

destruction by fighting without delay, is des-

- 12. On open ground, do not try to block the enemy's way. On the ground of intersecting
- highways, join hands with your allies. 13. On serious ground, gather in plunder. In difficult ground, keep steadily on the march.

On desperate ground, fight.

- between his large and small divisions; to hinder the good troops from rescuing the bad, the officers from rallying their men.
  - 16. When the enemy's men were scattered, they prevented them from concentrating; even when their forces were united, they managed to keep them in disorder.

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- 17. When it was to their advantage, they made a forward move; when otherwise, they stopped still.
- 18. If asked how to cope with a great host of the enemy in orderly array and on the point of marching to the attack, I should say: "Begin by seizing something which your opponent holds dear; then he will be amenable to your will."
- 19. Rapidity is the essence of war: take advantage of the enemy's unreadiness, make your way
- 14. On hemmed-in ground, resort to stratagem.

spots.

by unexpected routes, and attack unguarded

by an invading force: The further you pene-

trate into a country, the greater will be the solidarity of your troops, and thus the defend-

20. The following are the principles to be observed

21. Make forays in fertile country in order to sup-

22. Carefully study the well-being of your men,

and do not overtax them. Concentrate your

ers will not prevail against you.

ply your army with food.

unfathomable plans.

they will stand firm. If they are in hostile country, they will show a stubborn front. If

there is no help for it, they will fight hard.

long, it is not because they are disinclined to longevity.

energy and hoard your strength. Keep your army continually on the move, and devise 23. Throw your soldiers into positions whence there is no escape, and they will prefer death to flight. If they will face death, there is nothing they may not achieve. Officers and men alike will put forth their uttermost strength. 24. Soldiers when in desperate straits lose the sense of fear. If there is no place of refuge,

- 26. Prohibit the taking of omens, and do away with superstitious doubts. Then, until death
- itself comes, no calamity need be feared. 27. If our soldiers are not overburdened with money, it is not because they have a distaste for riches; if their lives are not unduly
- 28. On the day they are ordered out to battle, your soldiers may weep, those sitting up bedewing their garments, and those lying down letting the tears run down their cheeks. But let them once be brought to bay, and they will display the courage of a Chu or a Kuei.

its head; strike at its middle, and you will be attacked by head and tail both. 30. Asked if an army can be made to imitate the SHUAI-JAN, I should answer. Yes. For the men

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must reach.

of Wu and the men of Yüeh are enemies; yet if they are crossing a river in the same boat and are caught by a storm, they will come to each other's assistance just as the left hand helps the right.

31. Hence it is not enough to put one's trust in the tethering of horses, and the burying of chariot wheels in the ground 32. The principle on which to manage an army is to set up one standard of courage which all 35. It is the business of a general to be quiet and thus ensure secrecy; upright and just, and thus maintain order. 36. He must be able to mystify his officers and men by false reports and appearances, and

willy-nilly, by the hand.

just as though he were leading a single man,

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37. By altering his arrangements and changing his plans, he keeps the enemy without definite knowledge. By shifting his camp and emy from anticipating his purpose.

thus keep them in total ignorance.

taking circuitous routes, he prevents the en-38. At the critical moment, the leader of an army acts like one who has climbed up a height and then kicks away the ladder behind him.

	he drives his men this way and that, and
	nothing knows whither he is going.
40.	To muster his host and bring it into danger: — this may be termed the business of the general.

41. The different measures suited to the nine vari-

eties of ground; the expediency of aggressive

39. He burns his boats and breaks his cooking-

pots; like a shepherd driving a flock of sheep,

he drives his men this way and that and

before he shows his hand.

- or defensive tactics; and the fundamental laws of human nature: these are things that must most certainly be studied.

  42. When invading hostile territory, the general principle is that penetrating deaply brings
- principle is, that penetrating deeply brings cohesion; penetrating but a short way means dispersion.43. When you leave your own country behind, and take your army across neighbourhood

territory, you find yourself on critical ground.

highways.

44. When you penetrate deeply into a country, it is serious ground. When you penetrate but a little way, it is facile ground.

all four sides, the ground is one of intersecting

- 45. When you have the enemy's strongholds on your rear, and narrow passes in front, it is hemmed-in ground. When there is no place of refuge at all, it is desperate ground.
- 46. Therefore, on dispersive ground, I would inspire my men with unity of purpose. On facile ground, I would see that there is close connection between all parts of my army.
- 47. On contentious ground, I would hurry up my rear.48. On open ground, I would keep a vigilant even
- 48. On open ground, I would keep a vigilant eye on my defences. On ground of intersecting highways, I would consolidate my alliances.
- 49. On serious ground, I would try to ensure a continuous stream of supplies. On difficult

Wa	y of retreat. On desperate ground, I would			
pr	oclaim to my soldiers the hopelessness of			
sa	ving their lives.			
51. Fo	For it is the soldier's disposition to offer an ob-			
st	inate resistance when surrounded, to fight			
ha	ard when he cannot help himself, and to			

road.

ger.

of local guides.

ground, I would keep pushing on along the

50. On hemmed-in ground, I would block any

obey promptly when he has fallen into dan-52. We cannot enter into alliance with neighboring princes until we are acquainted with their designs. We are not fit to lead an army on the march unless we are familiar with the face of the country — its mountains and forests, its pitfalls and precipices, its marshes and swamps. We shall be unable to turn natural advantages to account unless we make use

prince. 54. When a warlike prince attacks a powerful state, his generalship shows itself in preventing the concentration of the enemy's forces. He overawes his opponents, and their allies

four or five principles does not befit a warlike

53. To be ignorant of any one of the following

55. Hence he does not strive to ally himself with all and sundry, nor does he foster the power of other states. He carries out his own secret designs, keeping his antagonists in awe. Thus he is able to capture their cities and

are prevented from joining against him.

- overthrow their kingdoms. 56. Bestow rewards without regard to rule, issue orders without regard to previous arrangements; and you will be able to handle a whole army as though you had to do with but a single man.
- 57. Confront your soldiers with the deed itself; never let them know your design. When the

gloomy.

may control the situation.

59. For it is precisely when a force has fallen into harm's way that is capable of striking a blow for victory.60. Success in warfare is gained by carefully accommodating ourselves to the enemy's purpose.

61. By persistently hanging on the enemy's flank,

62. This is called ability to accomplish a thing by

63. On the day that you take up your command, block the frontier passes, destroy the official tallies, and stop the passage of all emissaries.

we shall succeed in the long run in killing the

outlook is bright, bring it before their eyes;

but tell them nothing when the situation is

survive; plunge it into desperate straits, and

58. Place your army in deadly peril, and it will

it will come off in safety.

commander-in-chief.

sheer cunning.

65. If the enemy leaves a door open, you must rush in.66. Forestall your opponent by seizing what he holds dear, and subtly contrive to time his arrival on the ground.67. Walk in the path defined by rule, and accommodate yourself to the enemy until you can

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fight a decisive battle.

68. At first, then, exhibit the coyness of a maiden, until the enemy gives you an opening; afterwards emulate the rapidity of a running hare, and it will be too late for the enemy to oppose you.



## The Attack by Fire

- 1. Sun Tzŭ said: There are five ways of attacking with fire. The first is to burn soldiers in their camp; the second is to burn stores; the third is to burn baggage-trains; the fourth is to burn arsenals and magazines; the fifth is to hurl dropping fire amongst the enemy.
- 2. In order to carry out an attack with fire, we must have means available. The material for raising fire should always be kept in readiness.
- 3. There is a proper season for making attacks with fire, and special days for starting a conflagration.

- 4. The proper season is when the weather is very dry; the special days are those when the moon is in the constellations of the Sieve, the Wall, the Wing or the Cross-bar; for these four are all days of rising wind.
- 5. In attacking with fire, one should be prepared to meet five possible developments:
- 6. (1) When fire breaks out inside the enemy's camp, respond at once with an attack from without.
- 7. (2) If there is an outbreak of fire, but the enemy's soldiers remain quiet, bide your time and do not attack.

his plans well ahead; the good general cultivates his resources. 17. Move not unless you see an advantage; use not your troops unless there is something

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critical. 18. No ruler should put troops into the field merely to gratify his own spleen; no general should fight a battle simply out of pique.

to be gained; fight not unless the position is

19. If it is to your advantage, make a forward move; if not, stay where you are.

20. Anger may in time change to gladness; vexation may be succeeded by content.

21. But a kingdom that has once been destroyed can never come again into being; nor can the dead ever be brought back to life.

is practicable; if not, stay where you are. 9. (4) If it is possible to make an assault with fire from without, do not wait for it to break out.

moment.

10. (5) When you start a fire, be to windward of it. Do not attack from the leeward.

but a night breeze soon falls. 12. In every army, the five developments connected with fire must be known, the move-

ments of the stars calculated, and a watch

11. A wind that rises in the daytime lasts long,

kept for the proper days. 13. Hence those who use fire as an aid to the attack show intelligence; those who use water as an aid to the attack gain an accession of strength.

14. By means of water, an enemy may be inter-

cepted, but not robbed of all his belongings.

22. Hence the enlightened ruler is heedful, and the good general full of caution. This is the way to keep a country at peace and an army intact.



## The Use of Spies

- 1. Sun Tzŭ said: Raising a host of a hundred thousand men and marching them great distances entails heavy loss on the people and a drain on the resources of the State. The daily expenditure will amount to a thousand ounces of silver. There will be commotion at home and abroad, and men will drop down exhausted on the highways. As many as seven hundred thousand families will be impeded in their labour.
- 2. Hostile armies may face each other for years, striving for the victory which is decided in a single day. This being so, to remain in ignorance of the enemy's condition simply

- because one grudges the outlay of a hundred ounces of silver in honours and emoluments, is the height of inhumanity.
- 3. One who acts thus is no leader of men, no present help to his sovereign, no master of victory.
- 4. Thus, what enables the wise sovereign and the good general to strike and conquer, and achieve things beyond the reach of ordinary men, is *foreknowledge*.
- 5. Now this foreknowledge cannot be elicited from spirits; it cannot be obtained inductively

7. Hence the use of spies, of whom there are
five classes: (1) Local spies; (2) inward spies
(3) converted spies; (4) doomed spies; (5) sur
viving spies.

8. When these five kinds of spy are all at work,

none can discover the secret system. This is

called "divine manipulation of the threads".

only be obtained from other men.

lation.

from experience, nor by any deductive calcu-

6. Knowledge of the enemy's dispositions can

It is the sovereign's most precious faculty. 9. Having local spies means employing the ser-

vices of the inhabitants of a district.

- 10. Having inward spies, making use of officials of the enemy.
- 11. Having converted spies, getting hold of the enemy's spies and using them for our own purposes.

- 12. Having doomed spies, doing certain things openly for purposes of deception, and allowing our own spies to know of them and report them to the enemy.
- 13. Surviving spies, finally, are those who bring back news from the enemy's camp.
- 14. Hence it is that with none in the whole army are more intimate relations to be maintained than with spies. None should be more liberally rewarded. In no other business should greater secrecy be preserved.
- 15. Spies cannot be usefully employed without a certain intuitive sagacity.
- 16. They cannot be properly managed without
- benevolence and straightforwardness. 17. Without subtle ingenuity of mind, one cannot
- make certain of the truth of their reports. 18. Be subtle! be subtle! and use your spies for every kind of business.

	1	G	J	1 5
	before the time is ripe, he mu	ust	be put	to
	death together with the man	to	whom 1	the
	secret was told.			
20	Whether the object he to crush	าลเ	n armv	to

19. If a secret piece of news is divulged by a spy

- 20. Whether the object be to crush an army, to storm a city, or to assassinate an individual, it is always necessary to begin by finding out the names of the attendants, the aidesde-camp, the door-keepers and sentries of the general in command. Our spies must be commissioned to ascertain these.
- 21. The enemy's spies who have come to spy on us must be sought out, tempted with bribes, led away and comfortably housed. Thus they will become converted spies and available for our service.
- 22. It is through the information brought by the converted spy that we are able to acquire and
- employ local and inward spies.

  23. It is owing to his information, again, that we can cause the doomed spy to carry false tidings to the enemy.

- 24. Lastly, it is by his information that the surviving spy can be used on appointed occasions.25. The end and aim of spying in all its five value.
- 25. The end and aim of spying in all its five varieties is knowledge of the enemy; and this knowledge can only be derived, in the first instance, from the converted spy. Hence it is essential that the converted spy be treated with the utmost liberality.
- 26. Of old, the rise of the YIN dynasty was due to I CHIH who had served under the HSIA. Likewise, the rise of the CHOU dynasty was due to LÜ YA who had served under the YIN.
- 27. Hence it is only the enlightened ruler and the wise general who will use the highest intelligence of the army for purposes of spying, and thereby they achieve great results. Spies are a most important element in war, because on them depends an army's ability to move.